# EXHIBIT 3

### Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 2 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

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1
                   IN THE UNITED STATES DISTRICT COURT
 2
                 FOR THE NORTHERN DISTRICT OF CALIFORNIA
 3
                         SAN FRANCISCO DIVISION
 4
 5
       ASETEK DANMARK A/S,
 6
            Plaintiff and
            Counter-Defendant,
 7
       vs.
                                    ) Case No. 3:19-cv-00410-EMC
 8
       COOLIT SYSTEMS, INC.,
 9
            Defendant and
             Counter-Claimant.
10
11
       COOLIT SYSTEMS USA INC.,
       COOLIT SYSTEMS ASIA PACIFIC )
12
       LIMITED, COOLIT SYSTEMS
        (SHENZHEN) CO., LTD.,
13
            Defendants,
14
       COSAIR GAMING INC., and
15
       CORSAIR MEMORY INC.,
16
            Defendants.
17
                 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY
18
                    DEPOSITION OF DAVID TUCKERMAN, Ph.D.
19
20
                        MONDAY, DECEMBER 22, 2021
21
22
23
       Reported Remotely and Stenographically by:
24
       JANIS JENNINGS, CSR No. 3942, CLR, CCRR
25
       Job No. 4997336
                                                         Page 1
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## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 3 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	
2	
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6	
7	REMOTE DEPOSITION OF DAVID TUCKERMAN, Ph.D., located
8	in Lake Stevens, Washington, taken on behalf of the
9	Defendants and Counter-Claimants CoolIT entities and
10	Corsair entities, beginning at 9:10 a.m., on Wednesday,
11	December 22, 2021, sworn remotely by Janis Jennings,
12	Certified Shorthand Reporter No. 3942, CLR, CCRR,
13	located in the City of Walnut Creek, County of
14	Contra Costa, State of California.
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	Page 2

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 4 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	REMOTE APPEARANCES:
2	
3	For Plaintiff and Counter-Defendant Asetek Danmark
4	A/S:
5	FINNEGAN HENDERSON FARABOW GARRETT & DUNNER LLP
6	BY: ARPITA BHATTACHARYYA, ESQ.
7	3300 Hillview Avenue
8	Palo Alto, California 94304
9	650.849.6600
10	arpita.byattacharyya@finnegan.com
11	
12	For Defendants and Counter-Claimant CoolIT entities and
13	Corsair entities:
14	COOLEY RLLP
15	BY: REUBEN CHEN, ESQ.
16	DUSTIN KNIGHT, ESQ.
17	3175 Hanover Street
18	Palo Alto, California 94304
19	650.843.5000
20	rchen@cooley.com
21	dknight@cooley.com
22	
23	Also Present:
24	SOSEH KEVORKIAN, Videographer
25	
	Page 3

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 5 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	INDEX	
2		
3	WITNESS	PAGE
4	DAVID TUCKERMAN, Ph.D.	
5		
6		
7	EXAMINATION BY MR. KNIGHT	9
8		
9		
10		
11	QUESTIONS NOT ANSWERED	
12		
13	PAGE LINE	
14	53 24	
15	232 20	
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		
		Page 4

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 6 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1		EXHIBITS	
2			
3	EXHIBIT		PAGE
4	Exhibit 276	Exhibit A Materials Considered in	11
5		Preparation of Non-Infringement	
6		Expert Report	
7	Exhibit 277	Corrected Supplemental Declaration	16
8		of Donald E. Tilton IPR2020-00825	
9	Exhibit 278	Excerpt page from Tuckerman	26
10		Rebuttal Expert Report w/redlines	
11	Exhibit 279	Photographs of gaskets	103
12	Exhibit 279	-A Photographs of gaskets Gen 4,	129
13		Gen 5, Gen 6, Gen 7	
14	Exhibit 280	Exhibit A to Himanshu Pokharna	161
15		Report Re Infringement	
16	Exhibit 281	United States Patent Application	189
17		2006/0096738;	
18		ASE-CLT00044566 - 44574	
19			
20			
21			
22			
23			
24			
25			
		Pag	ge 5

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 7 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1		PREVIOUSLY MARKED EXHIBITS	
2			
3	EXHIBIT		PAGE
4	Exhibit 259	Expert Report of Dr. David B.	115
5		Tuckerman Regarding Invalidity	
6		of U.S. Patent Nos. 8,746,330;	
7		9,603,284; and 10,274,266	
8	Exhibit 259-A	Exhibit A; Invalidity Claim Chart	144
9		for U.S. Patent 8,746,330	
10	Exhibit 259-B	Exhibit B; Invalidity Claim Chart	144
11		for U.S. Patent 9,603,284	
12	Exhibit 259-C	Exhibit C; Invalidity Claim Chart	144
13		for U.S. Patent 10,274,266	
14	Exhibit 259-D	Exhibit D; Curriculum Vitae of	144
15		David B. Tuckerman	
16	Exhibit 259-E	Exhibit E; Materials Considered in	144
17		Preparation of Invalidity Expert	
18		Report	
19	Exhibit 259-F	Exhibit F; Infringement	144
20		Contentions photographs	
21	Exhibit 263	United States Patent No. 8,746,330	118
22	Exhibit 264	United States Patent No. 9,603,284	118
23	Exhibit 265	United States Patent No. 10,274,266	118
24			
25			
		Pag	e 6

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 8 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1		PREVIOUSLY MARKED EXHIBITS	
2			
3	EXHIBIT		PAGE
4	Exhibit 266	Rebuttal Expert Report of Dr. David	11
5		Tuckerman Regarding Non-Infringement	
6		of U.S. Patent Nos. 8,746,330;	
7		9,603,284; and 10,274,266	
8	Exhibit 273	United States Patent No. 5,998,240;	144
9		ASE-CLT00044523 - 44537	
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		Pag	ge 7

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 9 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	WEDNESDAY, DECEMBER 22, 2021; 9:10 A.M.	
2		
3		09:05
4	THE VIDEOGRAPHER: Good morning. We are	09:05
5	going on the record at 9:10 a.m. on December 22nd,	09:10
6	2021. This is media unit 1 of the video recorded	09:10
7	deposition of Dr. David Tuckerman taken by counsel	09:10
8	for defendant in the matter of Asetek Danmark A/S	09:10
9	versus CoolIT Systems, Incorporated and all related	09:11
10	cross actions, filed in the United States District	09:11
11	Court for the Northern District of California. Case	09:11
12	number 3:19-cv-00410-EMC.	09:11
13	This deposition is being held by Veritext	09:11
14	Virtual via Zoom web conferencing. My name is Soseh	09:11
15	Kevorkian from the firm Veritext and I'm the	09:11
16	videographer. Our court reporter is Janis Jennings	09:11
17	also from the firm Veritext.	09:11
18	At this time, would counsel and all present	09:11
19	please identify themselves for the record.	09:11
20	MR. KNIGHT: I am Dustin oh, go ahead,	09:11
21	Arpita.	09:11
22	MS. BHATTACHARYYA: No, go ahead, Dustin.	09:11
23	Go ahead.	09:11
24	MR. KNIGHT: Thank you. Thank you.	09:11
25	You have Dustin Knight and my colleague	09:11
	Page	e 8

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 10 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	Reuben Chen with Cooley LLP on behalf of CoolIT and	09:11
2	Corsair.	09:11
3	MS. BHATTACHARYYA: Arpita Bhattacharyya	09:11
4	from Finnegan LLP on behalf of plaintiffs Asetek	09:12
5	Denmark A/S.	09:12
6	THE WITNESS: And I'm David Bazeley	09:12
7	Tuckerman.	09:12
8	THE VIDEOGRAPHER: Okay. Thank you.	09:12
9	Janis, whenever you are ready.	09:12
10		
11	DAVID TUCKERMAN, Ph.D.,	
12	the witness herein, was sworn and	
13	testified as follows:	
14		09:12
15	DEPOSITION REPORTER: Thank you.	09:12
16	Please begin, Counsel.	09:12
17		
18	EXAMINATION	09:12
19	BY MR. KNIGHT:	09:12
20	Q. Good morning, Mr. Tuckerman.	09:12
21	A. Good morning.	09:12
22	Q. How are you doing today?	09:12
23	A. I am okay. Thank you.	09:12
24	Q. Great. I'm doing very well. Thank you for	09:12
25	asking.	09:12
	Pag	e 9

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 11 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	Q. Great.	09:16
2	A. Yeah.	
3	Q. Okay. Okay. Dr. Tuckerman, when did you	09:16
4	begin preparing your rebuttal report on	09:16
5	noninfringement?	09:16
6	A. Well, it I think it would have been	09:16
7	very well, it would have been after the report	09:16
8	came in, which I mean, it would have been after	09:16
9	seeing [audio interference] submission so	09:16
10	mid/early early December.	09:16
11	Q. Okay. And who did you speak with in	09:17
12	preparing your rebuttal report on noninfringement?	09:17
13	A. Oh, Counsel, Arpita.	09:17
14	Q. Was there anyone else?	09:17
15	A. I mean there was Rob McCauley gave me	09:17
16	some pointers on deposition protocol, but the	09:17
17	technical aspects of the report were all with	09:17
18	Arpita.	09:17
19	Q. Okay, great. And, Dr. Tuckerman, your	09:17
20	counsel would already tell you this, but you should	09:17
21	not divulge any confidential information in terms of	09:17
22	conversations that you've had with your attorneys.	09:17
23	Do you understand that?	09:17
24	A. Right. Okay. Yeah, I understand.	09:17
25	Q. Great. Great.	09:17
	Page	12

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 12 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	Dr. Tuckerman, have you ever spoken with	09:18
2	anyone at Asetek?	09:18
3	A. I have not spoken with anyone from Asetek.	09:18
4	Q. Okay. Okay. And do you speak Danish,	09:18
5	Dr. Tuckerman?	09:18
6	A. No, I do not.	09:18
7	Q. Okay. Do you read Danish?	09:18
8	A. I can't say that I do.	09:18
9	Q. Okay. Okay. Now, did you review any of	09:18
10	Asetek's documents in preparing your rebuttal	09:18
11	report?	09:18
12	A. There well, there was a I mean, there	09:18
13	was a deposition from Eriksen that I recall. The	09:18
14	there was I mean, there was can I see the	09:18
15	Materials Considered list?	09:19
16	Q. Yes. It should be in the folder, so feel	09:19
17	free to review that to refresh your recollection.	09:19
18	DEPOSITION REPORTER: Dr. Tuckerman, I think	09:19
19	we're getting your notifications in the audio, if	09:19
20	you're able to shut that off, please.	09:19
21	THE WITNESS: I don't really?	09:19
22	DEPOSITION REPORTER: Well, it was	09:19
23	somebody's. I thought it was yours, but I could be	09:19
24	wrong.	09:19
25	THE WITNESS: I'm not hearing anything. I	
	Page	13

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 13 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1		00 10
1	mean, what I could do is let me I mean, I had	09:19
2	my mail open so that is where I had to go to open up	09:19
3	this Zoom meeting. So I closed my email.	09:19
4	There's let's see I think I'm hoping you	09:20
5	won't hear any more. I mean, I didn't hear	09:20
6	anything, but anyway	09:20
7	DEPOSITION REPORTER: Thank you.	09:20
8	THE WITNESS: I don't know if it was me.	09:20
9	Okay. So you asked about what was your	09:20
10	question again?	09:20
11	BY MR. KNIGHT:	09:20
12	Q. Sure. I just asked you if you reviewed any	09:20
13	Asetek documents in preparing your rebuttal	09:20
14	noninfringement report.	09:20
15	A. I don't see anything here other than the	09:20
16	deposition transcript of Andre Eriksen taken on	09:21
17	August 24th and 25th. So that's it.	09:21
18	Q. Okay. And that Materials Considered list,	09:21
19	is that a complete list of the materials that you	09:21
20	reviewed in preparing your noninfringement report?	09:21
21	A. As far as I can recall, yes.	09:21
22	Q. Okay. Okay. Now, for the opinions in your	09:21
23	rebuttal report, did you write those opinions?	09:21
24	A. They were written in collaboration with	09:21
25	counsel.	09:21
	Page	14

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 14 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	Q. Okay. And is it fair to say that the	09:21
2	opinions in your noninfringement report are your own	09:21
3	though?	09:22
4	A. That's absolutely correct.	09:22
5	Q. Okay. And they're not someone else's	09:22
6	opinions; right?	09:22
7	A. That is correct.	09:22
8	Q. Okay. Who prepared the first draft of your	09:22
9	report?	09:22
10	A. The first draft was prepared by Arpita.	09:22
11	Q. Okay. Okay. Great. Okay.	09:22
12	So could you please turn to paragraphs 48	09:22
13	and 50 in your report. And I would just like you to	09:22
14	read those paragraphs for me. Let me know when you	09:22
15	are finished.	09:22
16	A. Yeah. By the way, I should mention there	09:22
17	was back and forth before, you know, the first draft	09:22
18	and lots of back and forth after the first draft,	09:22
19	you know.	09:22
20	Q. Great. Great. I wouldn't expect anything	09:22
21	less.	09:22
22	A. Yes. So repeat your last question, please.	09:22
23	Q. Yeah. Yeah. I just asked if you could turn	09:22
24	to paragraphs 48 and 50 in your report and read	09:22
25	those paragraphs for me, and just let me know when	09:23
	Page	15

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 15 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	you've finished reading them.	09:23
2	A. Okay. And by the way, since I expect we	09:23
3	will be referring to this further, I'm also	09:25
4	downloading onto the disk so that I	09:25
5	Q. Great. Great.	09:25
6	A. Yeah. Yeah.	
7	Q. Let me know when you are ready.	09:25
8	A. Yeah. All right.	09:25
9	MR. KNIGHT: I would like to introduce to	09:25
10	the record what I am labeling as Exhibit 277.	09:26
11	(Exhibit 277 marked for identification.)	09:26
12	BY MR. KNIGHT:	09:26
13	Q. Let me know when you see it, Dr. Tuckerman.	09:26
14	A. Yes. It just appeared.	09:26
15	Q. Okay.	09:26
16	A. Okay.	09:26
17	Q. Great. Dr. Tuckerman, have you seen this	09:26
18	document before?	09:26
19	A. Let me look at the Materials Considered.	09:26
20	Q. Sure.	09:27
21	A. Okay. I don't see it on my wait a	09:29
22	minute. No, there it is. It's in Exhibit A.	09:29
23	It's it is the yeah, 2020-00825. Yes. Yes.	09:29
24	Q. Okay. Perhaps we should switch strike	09:29
25	that.	09:29
	Page	16

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 16 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	So are you saying that you have seen this	09:29
2	document before?	09:29
3	A. Oh, yes, indeed. Yeah.	09:29
4	Q. Okay. Okay. Can we switch to your	09:29
5	Materials Considered list.	09:29
6	A. Right.	09:29
7	Q. And for your Materials Considered list, can	09:29
8	you point me to where in that list it describes	09:29
9	Dr. Tilton's corrected supplemental declaration?	09:30
10	A. Well, it's part of I mean, this is part	09:30
11	of a PTAB case, and I certainly remember seeing this	09:31
12	document. I inferred that it is a component of the	09:31
13	PTAB's final written decision in IPR2020-00825, that	09:31
14	it would be a component of that decision.	09:31
15	If that's not correct, then let's that it	09:32
16	is a component of it, it doesn't change the fact	09:32
17	that I have seen the document.	09:32
18	Q. Okay. So I'll represent to you that	09:32
19	Dr. Tilton's corrected supplemental declaration is	09:32
20	not a component of the PTAB's final written	09:32
21	decision. So to confirm	09:32
22	MS. BHATTACHARYYA: Objection. Okay. Go	09:32
23	ahead, Dustin.	09:32
24	MR. KNIGHT: Okay.	
25	MS. BHATTACHARYYA: Finish your question.	09:32
	Page	17

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 17 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	MR. KNIGHT: Yep.	09:32
2	BY MR. KNIGHT:	09:32
3	Q. So to confirm, the Materials Considered list	09:32
4	only recites that you considered the PTAB's final	09:32
5	written decision in IPR2020-00825; correct?	09:32
6	MS. BHATTACHARYYA: Objection. Form.	09:32
7	Mischaracterizes prior testimony. Mischaracterizes	09:33
8	the document.	09:33
9	THE WITNESS: Just a moment, please.	09:33
10	BY MR. KNIGHT:	09:33
11	Q. Of course. Take your time.	09:33
12	A. Okay. So repeat your question, please.	09:34
13	Q. So my question is: In your Materials	09:34
14	Considered list, you only cite the final written	09:35
15	decision in IPR2020-00825; correct?	09:35
16	MS. BHATTACHARYYA: Same objections. Same	09:35
17	objections.	09:35
18	THE WITNESS: I cite that IPR202-000825	09:35
19	document in my report well, in Materials	09:35
20	Considered also, yes.	09:35
21	BY MR. KNIGHT:	09:35
22	Q. When you say "document," you mean the PTAB's	09:35
23	final written decision?	09:35
24	MS. BHATTACHARYYA: Objection.	09:35
25	Mischaracterizes prior testimony.	09:35
	Page	18

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 18 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	THE WITNESS: Well, what is okay. So	09:36
2	what I am saying is IPR2020-00825 is referred to in	09:36
3	this report and the Materials Considered list the	09:36
4	references in the Materials Considered list are	09:37
5	relate that include that IPR case are CoolIT's	09:37
6	patent owner response in IPR2020-00825 against	09:37
7	CoolIT's '266 patent. And the PTAB's final written	09:37
8	decision in IPR2020-00825	09:37
9	BY MR. KNIGHT:	
10	Q. Okay.	09:37
11	A so, yeah.	09:37
12	Q. Okay. Do you know what an "IPR final	09:37
13	written decision" is?	09:37
14	MS. BHATTACHARYYA: Objection. Calls for	09:37
15	legal conclusions.	09:37
16	THE WITNESS: Well, I know that the PTAB is	09:37
17	like a court in the my understanding is that the	09:37
18	PTAB is like a court in associated with the	09:37
19	patent office, and they handle appeals. And a final	09:38
20	written decision would be like a court judgment, so	09:38
21	that's the level of my understanding. I'm not a,	09:38
22	you know, a patent attorney or, you know or so	09:38
23	that but that's my understanding.	09:38
24	BY MR. KNIGHT:	09:38
25	Q. I totally understand, Dr. Tuckerman. Hey,	09:38
	Page	19

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 19 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	I'm not a liquid cooling expert, so we each have our	09:38
2	lanes.	09:38
3	So the PTAB's final written decision in	09:38
4	IPR2020-00825, was that a decision that was issued	09:38
5	by the Patent Trial and Appeal Board or Dr. Tilton?	09:38
6	A. Well, a court decision would be issued by	09:38
7	the board. It wouldn't be issued by a party to	09:38
8	the	09:38
9	Q. Okay. So just to clarify the record,	09:38
10	Dr. Tilton's corrected supplemental declaration is	09:39
11	not listed on your Materials Considered list;	09:39
12	correct?	09:39
13	MS. BHATTACHARYYA: Objection.	09:39
14	Mischaracterizes prior testimony.	09:39
15	THE WITNESS: Excuse me a minute. I need a	09:39
16	couple minutes to refresh my memory on the Tilton	09:40
17	document. I saw a great many documents since this	09:40
18	case began and some of them involved the Tilton, but	09:40
19	let me	09:40
20	BY MR. KNIGHT:	
21	Q. I understand, Dr. Tuckerman, but that is not	09:40
22	responsive to my question. My question is very	09:40
23	simple. It's whether or not Dr. Tilton's corrected	09:40
24	supplemental declaration is listed on your Materials	09:40
25	Considered list. Could you answer that question for	09:40
	Page	20

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 20 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	me?	09:40
2	MS. BHATTACHARYYA: Objection. Asked and	09:40
3	answered.	09:40
4	THE WITNESS: To me, it's a well, the	09:40
5	question in my mind, and I think this is a	09:41
6	perhaps a legal technicality question, is whether	09:41
7	the whether this exhibit was incorporated in the	09:41
8	PTAB's final written decision.	09:41
9	I don't know whether it would be considered	09:41
10	as such or not. If it is not actually physically	09:41
11	part of that decision which is, you know, a	09:41
12	significant document, then I would say it's not on	09:41
13	the list.	09:41
14	BY MR. KNIGHT:	09:41
15	Q. Okay.	09:41
16	A. On the other hand, if it was integral to it,	09:41
17	then it would be on the list is the best answer I	09:41
18	can give you.	09:41
19	Q. Okay. So sitting here oh, I'm sorry.	09:41
20	Did you have anything else to say, Dr. Tuckerman?	09:41
21	A. No.	09:41
22	Q. Okay. Okay. So sitting here today, do you	09:41
23	know if you reviewed this document by Dr. Tilton or	09:42
24	another document by Dr. Tilton?	09:42
25	A. Well, I mean, like I say, I have seen many	09:42
	Page	21

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 21 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	documents by Dr. Tilton and I you know, this was	09:42
2	in a large pile of documents that was shipped to me	09:42
3	is you know, to the best of my recollection, it	09:42
4	was in there, among other Tilton testimony.	09:42
5	Q. Okay. Okay.	09:42
6	A. I'm, you know I mean, like I say, there	09:42
7	are lots of documents. But I'm quite sure some	09:42
8	you know, anything relevant to the case was in there	09:42
9	and this appears relevant so	09:43
10	Q. Okay. Are you relying on this document if	09:43
11	it's not identified in your Materials Considered	09:43
12	list?	09:43
13	MS. BHATTACHARYYA: Objection. Form.	09:43
14	THE WITNESS: Well, that gets into please	09:43
15	allow me to refresh my memory on what's in the	09:43
16	document.	09:43
17	BY MR. KNIGHT:	09:43
18	Q. Go ahead.	09:43
19	A. Okay. So please repeat your question now	09:45
20	that I've had a chance to read it.	09:45
21	Q. Uh-huh.	09:45
22	A. And now that I've had a chance to refresh my	09:45
23	memory.	09:45
24	Q. Uh-huh. I asked you are you relying on this	09:45
25	document if it's not identified on your Materials	09:45
	Page	22

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 22 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	Considered list?	09:45
2	MS. BHATTACHARYYA: Objection. Form.	09:45
3	THE WITNESS: I am not relying on it per se.	09:45
4	I certainly agree with Tilton, but I would have made	09:45
5	an identical argument, and I do make that argument.	09:45
6	So I'm not relying on Dr. Tilton's opinion	09:45
7	in this matter, although he is an expert who I have	09:45
8	great respect for. So I would say I concur with	09:45
9	Tilton's position, but I'm not relying on it because	09:46
10	I would have made, and I do make, the exact same	09:46
11	physical arguments in the text.	09:46
12	BY MR. KNIGHT:	09:46
13	Q. And you understand that you need to properly	09:46
14	identify everything that you rely on in your	09:46
15	Materials Considered list; right?	09:46
16	MS. BHATTACHARYYA: Objection. Form.	09:46
17	THE WITNESS: Well, I am not claiming to	09:46
18	have relied on Tilton. I was just saying that I	09:46
19	agree with Tilton. I understood he had that	09:46
20	position, but my position is an independently taken	09:46
21	position, which and that is the position that's	09:46
22	in my report.	09:46
23	BY MR. KNIGHT:	09:46
24	Q. I understand that, Dr. Tilton [verbatim]. I	09:46
25	think my question was slightly different.	09:46
	Page	23

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 23 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	A. Tuckerman.	09:46
2	Q. Or sorry. Sorry. The Ts.	09:47
3	My question was: Do you understand that you	09:47
4	need to properly identify everything that you rely	09:47
5	on in your Materials Considered list; correct?	09:47
6	MS. BHATTACHARYYA: Objection. Form.	09:47
7	THE WITNESS: That well, that certainly	09:47
8	makes sense.	09:47
9	BY MR. KNIGHT:	09:47
10	Q. Okay. Okay. Turning back to Dr. Tilton's	09:47
11	corrected supplemental declaration. What's the date	09:47
12	that appears on the document?	09:47
13	A. That's dated May 10th, 2021.	09:47
14	Q. Okay. Now, Dr. Tuckerman, do paragraphs 2	09:47
15	and 4 of Dr. Tilton's supplemental declaration in	09:48
16	IPR2020-00825 use nearly the same words verbatim as	09:48
17	in your paragraph 48 and 50 of your noninfringement	09:48
18	report?	09:48
19	A. Allow me to compare.	09:48
20	Repeat your question, please, now.	09:50
21	Q. Certainly. So, Dr. Tuckerman, do paragraphs	09:50
22	2 and 4 of Dr. Tilton's supplemental declaration in	09:50
23	IPR2020-00825 use nearly the same words verbatim as	09:50
24	in, respectively, paragraphs 48 and 50 of your	09:50
25	noninfringement report?	09:50
	Page	24

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 24 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	MS. BHATTACHARYYA: Objection.	09:50
2	Mischaracterizes the documents.	09:50
3	THE WITNESS: There is there are sections	09:50
4	of there are, shall we say there is the use of	09:50
5	the same words or a few words put together in places	09:50
6	that let's put it this way, the Tilton's	09:50
7	opinion is very sound, and there's some things that	09:51
8	can't really be said more clearly or better than the	09:51
9	way he put it.	09:51
10	So I didn't see any reason that you know,	09:51
11	having seen the report previously, you understand,	09:51
12	and agreeing with that, I didn't see any reason to	09:51
13	make every word different. I didn't think that I	09:51
14	was, you know you know, being I didn't think	09:51
15	there was an issue of, you know, being accused of	09:51
16	plagiarism or something like that. It's just that	09:51
17	in technical fields, when something is correct and	09:51
18	true, people say things the same way.	09:51
19	And so I didn't see any problem I don't	09:52
20	see any problem that, in certain places, the same	09:52
21	words were used as Tilton because it's but	09:52
22	it's it is a position that is 100 percent	09:52
23	defensible on its own. And the fact that some of	09:52
24	the words are similar, I does not mean that I am	09:52
25	relying on his document.	09:52
	Page	25

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 25 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	set them side by side.	10:01
2	Q. You're welcome to print them out if you'd	10:01
3	like.	10:01
4	A. Okay. I that would be really helpful.	10:01
5	On this laptop, I can't print. Well, let me just	10:02
6	MR. KNIGHT: I'd like to go off the record.	10:02
7	THE WITNESS: Okay. I'm really sorry. I	10:02
8	just want to be sure that this	10:02
9	MR. KNIGHT: Dr. Tilton [verbatim], while	10:02
10	you print those out, I'd like to go off the record,	10:02
11	if that's all right.	10:02
12	THE WITNESS: Okay. I mean, if my counsel	10:02
13	will stipulate that this has been done correctly,	10:02
14	then I would go ahead. I'm not trying to be	10:02
15	obstructionist.	10:02
16	MR. KNIGHT: I totally understand. I	10:02
17	understand you have got you've got to check all	10:02
18	this stuff and I would do the same thing so	10:02
19	THE WITNESS: Okay. Should I sign off or	10:02
20	what do I do?	10:02
21	MS. BHATTACHARYYA: We can go off the	10:02
22	record.	10:02
23	THE VIDEOGRAPHER: We're going off the	10:02
24	record at 10:02 a.m.	10:02
25	(Off the record.)	10:03
	Page	28

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 26 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	THE VIDEOGRAPHER: We are on the record at	10:18
2	10:18 a.m. This is the beginning of media 2 in the	10:18
3	deposition of Dr. David Tuckerman.	10:18
4	BY MR. KNIGHT:	10:19
5	Q. Welcome back, Dr. Tuckerman.	10:19
6	A. Thank you.	10:19
7	Q. Rather than have you print out and compare	10:19
8	the paragraphs that we discussed earlier, I'll just	10:19
9	represent to you that the Exhibit 278 is a	10:19
10	comparison of paragraphs 2 and 4 from Dr. Tilton's	10:19
11	corrected supplemental declaration in IPR2020-00825	10:19
12	to paragraphs 48 and 50 in your noninfringement	10:19
13	report, and that it was generated using a computer	10:19
14	program.	10:19
15	Now, with that understanding in mind,	10:19
16	Dr. Tuckerman, did you ask Dr. Tilton for permission	10:19
17	to copy the words he used in paragraphs 2 and 4 of	10:19
18	his corrected supplemental declaration?	10:20
19	MS. BHATTACHARYYA: Objection. Form.	10:20
20	THE WITNESS: I did not think it was	10:20
21	necessary in that this was not an issue of, you	10:20
22	know, publication matters that I should say, by	10:20
23	the way, that my difficulties are I'm not I'm a	10:20
24	different generation from you folks. I'm not real	10:20
25	computer literate and about the most I can figure	10:20
	Page	29

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 27 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	out is how to get I borrowed this laptop so that	10:20
2	one the one I'm looking at is not connected to my	10:20
3	printer, and getting more than two things on there	10:20
4	at the same time is I just was having trouble	10:20
5	so	10:20
6	But, anyway, you know, when something is	10:20
7	true and correct and technically correct, you know,	10:20
8	I don't feel the need to change around the words.	10:20
9	You know, if I was publishing a paper, you know,	10:21
10	then issues of permission might be relevant. But	10:21
11	when I'm just stating a truth and let me be very	10:21
12	clear, I'm not relying on Dr. Tilton's opinions.	10:21
13	think Dr. Tilton is correct, but these are these	10:21
14	are exactly my own opinion on the subject because	10:21
15	they're manifestly and obviously true physical facts	10:21
16	so	10:21
17	BY MR. KNIGHT:	10:21
18	Q. Okay. One last question on this,	10:21
19	then I think we should probably move on.	10:21
20	And to your point about being from a	10:21
21	different generation, I'm from a different	10:21
22	generation than than like my sister, for example.	10:21
23	I don't have social media, so I get it in a	10:21
24	different context for sure.	10:21
25	In your professional opinion, is it	10:21
	Page	30

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 28 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	acceptable to copy the words of another author	10:21
2	without citing to that author?	10:22
3	MS. BHATTACHARYYA: Objection. Calls for a	10:22
4	legal conclusion. Mischaracterizes the document.	10:22
5	Mischaracterizes prior testimony.	10:22
6	THE WITNESS: Yeah. Say the question again,	10:22
7	please.	10:22
8	BY MR. KNIGHT:	10:22
9	Q. Sure. Sure. In your professional opinion,	10:22
10	do you believe it is acceptable to copy the words of	10:22
11	another author without citing that author?	10:22
12	MS. BHATTACHARYYA: Same objection.	10:22
13	Mischaracterizes. Mischaracterizes the documents.	10:22
14	Mischaracterizes prior testimony. Calls for legal	10:22
15	conclusions. Objection. Form.	10:22
16	THE WITNESS: I would say it depends on	10:22
17	context. [I	10:22
18	BY MR. KNIGHT:	10:22
19	Q. Okay. Okay. Let's move on.	10:22
20	So I think we should turn to the body of	10:22
21	your report. So in your report, you opine that	10:23
22	Asetek could design around the CoolIT asserted	10:23
23	patents; is that right?	10:23
24	A. I do opine that, yes.	10:23
25	Q. Okay. Okay. Now, in paragraphs 75 to 84 of	10:23
	Page	31

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 29 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1		
1	your report, does that contain the entirety of your	10:23
2	opinions with respect to your proposed	10:23
3	design-arounds?	10:23
4	MS. BHATTACHARYYA: Objection.	10:23
5	Mischaracterizes the report.	10:23
6	THE WITNESS: Let me find the paragraphs.	10:23
7	Which paragraph, please?	10:23
8	BY MR. KNIGHT:	10:23
9	Q. Paragraphs 75 through 84.	10:23
10	A. Okay. And your question about these the	10:24
11	question about these is what again, please?	10:24
12	Q. Yeah. So do paragraphs 75 through 84 in	10:24
13	your report contain the entirety of your opinion	10:24
14	with respect to your proposed design-arounds?	10:24
15	MS. BHATTACHARYYA: Same objections.	10:24
16	THE WITNESS: I would say they represent an	10:24
17	opinion of mine. I would not say that they are the	10:24
18	only opinions that I might have, but they are the	10:24
19	opinions that I've chosen to, you know, put to paper	10:24
20	and submit to the court.	10:24
21	I would reserve the right, if it's legally	10:24
22	appropriate, to add additional arguments in that	10:25
23	direction should it be, you know, necessary and	10:25
24	appropriate. So I can't say that it's the entirety	10:25
25	of my opinions on the subject.	10:25
	Page	32

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 30 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	BY MR. KNIGHT:	10:25
2	Q. Okay. Now, could you describe for me the	10:25
3	alternatives that are available to Asetek?	10:25
4	A. Well, I mean, I think the report speaks for	10:25
5	itself. Do you want me to walk you through it?	10:25
6	Q. Yeah, that would be helpful. Just a summary	10:25
7	would be great.	10:25
8	A. Okay. So we are starting with paragraph 75.	10:25
9	"every asserted independent claim	10:25
10	of the asserted CoolIT Patents recites	10:26
11	a split-flow arrangement in the	10:26
12	plurality of microchannels wherein,	10:26
13	cooling liquid enters each microchannel	10:26
14	at a position between the microchannel	10:26
15	ends."	10:26
16	And then it goes on to, you know, cite to	10:26
17	specific claims.	10:26
18	In all cases, it is talking about between	10:26
19	the first ends that the inlet is between the	10:26
20	first ends and second ends of the channels. It says	10:26
21	it slightly differently in different claims, but	10:26
22	that's the idea.	10:26
23	Q. Yeah.	10:26
24	A. And so we are talking about, clearly, a	10:26
25	single set of continuous microchannels and you are	10:26
	Page	33

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 31 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	feeding them in the middle.	10:26
2	So moving on to paragraph 76. By designing	10:26
3	the cold plates in such a way that cooling liquid	10:27
4	enters each microchannel at the first or the second	10:27
5	end of the microchannel and not between the ends,	10:27
6	Asetek has effectively designed around CoolIT's	10:27
7	claims.	10:27
8	And that is explained in some figures below	10:27
9	where the microchannel plate is actually really two	10:27
10	microchannel arrays with a space in between. So	10:27
11	each, you know, set is roughly half the length of	10:27
12	the original microchannels, and you're now feeding	10:27
13	from the first end or second end of those individual	10:27
14	microchannel arrays, you know. So to me, that	10:27
15	clearly gets you know, is outside of the scope of	10:27
16	the CoolIT claims.	10:27
17	And there's, you know, a picture of the	10:28
18	Gen 6 cold plate, for example, where it has that	10:28
19	space between the two microchannel arrays.	10:28
20	Yeah, go ahead.	10:28
21	Q. Yeah, just just one question on the	10:28
22	design-around that you just mentioned.	10:28
23	A. Uh-huh.	10:28
24	Q. Does the space in the middle have to be of a	10:28
25	certain width in order not to infringe?	10:28
	Page	34

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 32 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	A. I don't see that it needs to be, no.	10:28
2	Q. Okay. Okay. Continue with your description	10:28
3	of the alternatives to Asetek, please.	10:28
4	A. Okay. Okay.	10:28
5	As shown above, each cold plate is	10:28
6	to microchannel arrays"	10:29
7	Let's see, in this example, they have used a	10:29
8	.4 millimeter separation. That's an engineering	10:29
9	design decision that was made for that example	10:29
10	Q. Okay.	10:29
1,1	A where there are no microchannels.	10:29
12	"Cooling liquid delivered through	10:29
13	the inlet opening to the gap onto	10:29
14	the bare cold plate and the liquid	
15	then enters each microchannel"	
16	(Clarification requested by Reporter.)	
17	THE WITNESS: Yes.	
18	DEPOSITION REPORTER: Please read slower.	
19	THE WITNESS: "Cooling liquid is delivered	10:29
20	through the inlet opening" [audio disruption]	10:29
21	that wasn't me.	10:30
22	"Cooling liquid is delivered through	10:30
23	the inlet opening to the gap, i.e.,	10:30
24	onto the bare cold plate and the	10:30
25	liquid then enters each microchannel	10:30
	Page :	35

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 33 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	at the microchannel end adjacent to	10:30
2	the gap." [As read.]	10:30
3	Then I go on in paragraph 79 to actually	10:30
4	opine on some technical advantages to this approach	10:30
5	over injecting directly into continuous channels	10:30
6	where the that can lead to improved performance,	10:30
7	because I'm describing that there is a form of	10:30
8	impingement going on in the center in the gap when	10:30
9	this happens, and that can give you local advantages	10:31
10	in heat transfer.	10:31
11	I should say that the how much advantage	10:31
12	and whether one wants to use that advantage depends	10:31
13	on the heat map of the chip. So these are not	10:31
14	simple issues, and you asked a question about the	10:31
15	width of the groove. That is not a simple question.	10:31
16	It there will be typically an optimum choice for	10:31
17	engineering, and that's determined after extensive	10:31
18	numerical simulation procedures.	10:31
19	So it does it is context sensitive to	10:31
20	what kind of chip you're putting on there, what is	10:31
21	its heat map, whether you, you know, what how	10:31
22	much benefit you might get in in the center from	10:31
23	doing that.	10:32
24	But what I am asserting is that you can	10:32
25	with this redesign, you can generate comparable and,	10:32
	Page	36

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 34 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	in some cases, better performance with this kind of	10:32
2	structure.	10:32
3	I do quote Mr. Eriksen's words on this	10:32
4	subject from his deposition transcript.	10:32
5	Q. Uh-huh.	10:32
6	A. And there's just more pictures of the cold	10:32
7	plate.	10:32
8	Q. Do you propose any other alternatives in	10:32
9	your report?	10:32
10	A. Well, it goes on	10:32
11	MS. BHATTACHARYYA: Objection. It	10:33
12	mischaracterizes the report.	10:33
13	THE WITNESS: Yeah. Here, we're talking	10:33
14	just about the gap between the microchannels.	10:33
15	There's also issues with the gasket that it gets	10:33
16	into. Yeah, that relates more to a different claim	10:33
17	issue.	10:33
18	BY MR. KNIGHT:	10:33
19	Q. Uh-huh.	10:33
20	A. Yeah. So I'm just moving along.	10:33
21	What was the paragraph range you were asking	10:33
22	me about?	10:33
23	Q. It was 75 to 84.	10:33
24	A. Right. Okay. So I'm down now down to	10:33
25	80. Okay. So here we go.	10:33
	Page	37

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 35 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	"Additionally, Asetek has redesigned	10:33
2	the gaskets over the microchannels	10:33
3	inthe Gen 4, 5, 6, and 7 products,	10:33
4	which overcome the alleged	10:33
5	infringement of the asserted claims	10:33
6	of the '284 patent and Claim 15 of	10:33
7	the '266 patent. The two independent	10:33
8	claimsof the '284 recite that the	10:33
9	'outlet flow path' from a centrally-	10:34
10	located microchannel is larger than	10:34
1,1	the 'outlet flow paths' from the outer	10:34
12	microchannels. Similarly, Claim 15	10:34
13	of '266 patent recites that the 'outlet	10:34
14	opening from the centrally located	10:34
15	microchannel is"	10:34
16	DEPOSITION REPORTER: I'm sorry. Can you	
17	start that again? Paragraph	
18	THE WITNESS: Yes, I'm sorry.	
19	"Similarly, claim 15 of the '266	
20	patent recites that 'the outlet	
21	opening from the centrally located	10:34
22	microchannel is larger than the	
23	outlet opening from at least one of	10:34
24	the larger microchannels.'"	10:34
25	I disagree that okay. So I disagree that	10:34
	Page	38

## Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 36 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	outlet opening and outlet flow path are the same,	10:34
2	but Dr. Pokharna's alleged infringement analyses for	10:34
3	the '284 patent claims and Claim 15 of the '266	10:35
4	patent are nevertheless the same. He relies on the	10:35
5	beveled chamfered corners at the ends of the outlet	10:35
6	header regions near the outer microchannels to argue	10:35
7	for both patents that the outlet openings/outlet	
8	flow path from a centrally located microchannel is	10:35
9	larger than the outlet openings/outlet flow paths	10:35
10	from the outer microchannels.	10:35
11	I disagree with Dr. Pokharna's infringement	10:35
12	analyses for the reasons explained above.	10:35
13	Regardless, Dr. Pokharna's infringement case for the	10:35
14	'284 patent claims and Claim 15 of the '266 patent	10:35
15	falls apart if: One, the corners of the outlet	10:35
16	regions near the outer microchannels are straight,	10:35
17	not curved; and, two, the openings from the outlet	10:35
18	header regions are adjacent to centrally located	10:35
19	microchannels and not near the other microchannels.	10:36
20	So to put that all in plain language, the	10:36
21	you're relocating the outlet holes so that they're	10:36
22	not corner but they're central; and secondly, you're	10:36
23	squaring off the corners rather than leaving a	10:36
24	bevel. And I'll say from an engineering point of	10:36
25	view, you know, a performance point of view, there's	10:36
	Page	39

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 37 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	no substantial difference in performance when you do	10:36
2	this because the those outlet regions are large	10:36
3	volume header regions and you you know, where you	10:36
4	place the hole is not does not create a	10:36
5	significant pressure drop difference.	10:36
6	The whole idea of microchannel cooling is	10:37
7	that the pressure drops the major pressure drops	10:37
8	are in the microchannel array itself, not in the	10:37
9	headers. The whole function of a header is to be	10:37
10	pretty much a uniform pressure environment. And so	10:37
11	where you choose the port to go in and out along the	10:37
12	length of the header is not a significant	10:37
13	contribution or effect on performance. And so, you	10:37
14	know, relocating them to the center is fine, and	10:37
15	does the same thing as having a port in the corner.	10:37
16	And as far as the bevel, you know, that to	10:37
17	me is was an issue of sort of engineering	10:37
18	aesthetics. I would think the designer thought, you	10:37
19	know, gee, that just kind of looks nice or	10:37
20	something, but there is no reason to put that bevel	10:37
21	on there. That doesn't change anything. If	10:37
22	anything, you know, it creates even a little more	10:38
23	volume in your header.	10:38
24	So with these two relatively trivial	10:38
25	changes, you get an outcome that is the same and	10:38
	Page	40

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 38 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	gomplete and generinging anguar I would like the	10.10
1	complete and convincing answer, I would like the	12:12
2	ability to refer to a document that I have cited in	12:13
3	my own report.	12:13
4	BY MR. KNIGHT:	12:13
5	Q. So you're telling me, sitting here today,	12:13
6	that you cannot tell me what you mean by a	12:13
7	"meaningful competitor" without being able to	12:13
8	reference a separate exhibit?	12:13
9	MS. BHATTACHARYYA: Objection.	12:13
10	Mischaracterizes prior testimony. Mischaracterizes	12:13
11	Dr. Tuckerman's report.	12:13
12	THE WITNESS: I am saying no such thing. I	12:13
13	certainly well	12:13
14	BY MR. KNIGHT:	
15	Q. If that's the case, Dr. Tuckerman, then what	12:13
16	do you mean when you say a "meaningful competitor"	12:13
17	in paragraph 84?	12:13
18	A. It was meaningful enough that CoolIT's own	12:13
19	internal documents had it in a competitive analysis,	12:13
20	and they wouldn't have done that if they didn't	12:13
21	consider them a meaningful competitor.	12:13
22	Q. And by "they," you mean	12:13
23	A. CoolIT CoolIT would have not included it	12:14
24	in a competitive analysis if they did not consider	12:14
25	that particular single-pass product to be a	12:14
	Page	78

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 39 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	meaningful competitor. Why would you bother if they	12:14
2	were not taking some a meaningful amount of your	12:14
3	market share? So that's just, you know, a business	12:14
4	common sense.	12:14
5	Q. So when you say the term "meaningful	12:14
6	competitor," are you talking about a meaningful	12:14
7	competitor to CoolIT?	12:14
8	A. Well	12:14
9	MS. BHATTACHARYYA: Objection. Document	12:14
10	speaks for itself.	12:14
11	THE WITNESS: what I say in the document	12:14
12	is a meaningful "a meaningful competitor to	12:14
13	Asetek and CoolIT/Corsair's desktop liquid cooling	12:14
14	products." I mean, it is all the same market, you	12:15
15	know. They're all Asetek, CoolIT/Corsair, they	12:15
16	are going after the same market.	12:15
17	And so a product that is a meaningful	12:15
18	competitor to CoolIT would also presumably be a	12:15
19	meaningful competitor to Asetek because it is taking	12:15
20	market share from, you know, both of them.	12:15
21	BY MR. KNIGHT:	12:15
22	Q. Okay. Now, did you do any testing on the	12:15
23	Cooler Master product?	12:15
24	A. No, I didn't do any performance testing on	12:15
25	them.	12:15
	Page	79

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 40 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	Q. Okay. Did you buy or inspect the Cooler	12:15
2	Master product?	12:15
3	A. Okay. Let's Cooler Master product	12:15
4	Let's check Materials Considered.	12:15
5	No. It's not on the list, so it would not	12:16
6	be one that I've had my hands on.	12:16
7	Q. Okay. And you didn't ask Asetek about	12:16
8	whether the Cooler Master product competes with	12:16
9	Asetek's products, did you?	12:16
10	A. As I've said	12:16
11	MS. BHATTACHARYYA: Objection.	12:16
12	Mischaracterizes the report.	12:16
13	THE WITNESS: As I've said, I've never	12:16
14	spoken with Asetek, and so I don't listen to the	12:16
15	rest of any question that starts with, did I ask	12:16
16	Asetek something.	12:16
17	BY MR. KNIGHT:	12:16
18	Q. Okay. So do you know if Asetek considers	12:16
19	Cooler Master a competitor in all of its market	12:16
20	segments?	12:16
21	MS. BHATTACHARYYA: Objection. Calls for	12:16
22	speculation.	12:16
23	THE WITNESS: I wouldn't know what segments	12:16
24	they consider them a competitor in or not. That's	12:16
25	out outside of the bounds of what I was asked to	12:16
	Page	80

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 41 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	opine on.	12:16
2	BY MR. KNIGHT:	12:16
3	Q. Okay. Okay. Well, would it surprise you to	12:16
4	know that Asetek does not consider Cooler Master a	12:17
5	competitor in all of its market segments	12:17
6	historically?	12:17
7	MS. BHATTACHARYYA: Objection. Outside the	12:17
8	scope of the report.	12:17
9	THE WITNESS: Well, you said "in all of its	12:17
10	market segments," so I might infer from that that	12:17
11	you think that in some market segments they do, or	12:17
12	else you wouldn't have asked the question that way.	12:17
13	And I come back to the report. Why was it	12:17
14	in a confidential competitive analysis if they	12:17
15	didn't care about them at all?	12:17
16	BY MR. KNIGHT:	12:17
17	Q. And that report was CoolIT's report; is that	12:17
18	correct?	12:17
19	A. Well, it's the one I've asked you to pull up	12:17
20	and you haven't, you know which you haven't done	12:17
21	so, you know, we could discuss it more if you pull	12:17
22	it up.	12:17
23	Q. So you're not going to answer my question?	12:17
24	A. Please repeat the question.	12:18
25	MS. BHATTACHARYYA: Objection. Asked and	12:18
	Page	81

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 42 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	answered.	12:18
2	BY MR. KNIGHT:	12:18
3	Q. My question is whether the report that you	12:18
4	referred to in your prior answer was CoolIT's	12:18
5	report.	12:18
6	MS. BHATTACHARYYA: Objection. Asked and	12:18
7	answered.	12:18
8	THE WITNESS: Well, it clearly in my report	12:18
9	says:	12:18
10	"CoolIT's own documents show that	12:18
11	this is not correct. For example,	12:18
12	COOLIT00036274-88 (Exhibit 129 to	12:18
13	the Mostafavi deposition)."	12:18
14	So that is a CoolIT document.	12:18
15	BY MR. KNIGHT:	12:18
16	Q. Okay. Now, did you conduct any surveys	12:18
17	about the acceptability of the Cooler Master product	12:18
18	to Asetek's customers?	12:18
19	MS. BHATTACHARYYA: Objection. Outside the	12:18
20	scope of the report.	12:18
21	THE WITNESS: Independently, I did not	12:18
22	conduct such surveys and was not asked to and didn't	12:18
23	think it was relevant to a noninfringement report.	12:19
24	BY MR. KNIGHT:	12:19
25	Q. Okay. And did you do anything yourself to	12:19
	Page	82

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 43 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	determine whether the Cooler Master product is a	12:19
2	meaningful competitor to the Asetek and	12:19
3	CoolIT/Corsair desktop liquid cooling products?	12:19
4	MS. BHATTACHARYYA: Objection.	12:19
5	Mischaracterizes the report. Outside the scope of	12:19
6	the report.	12:19
7	THE WITNESS: I saw this internal CoolIT	12:19
8	document, which I have referenced, in which there	12:19
9	was about half a dozen products evaluated for their	12:19
10	thermal performance. This particular Cooler Master	12:19
11	product was among that small group.	12:19
12	And I, as a a person with some not	12:19
13	inconsiderable experience in high-tech business and	12:19
14	electronics and pack in electronic packaging,	12:19
15	I you know, and an MBA, by the way, from	12:20
16	Stanford, in addition to my Ph.D., it seemed a very	12:20
17	reasonable conclusion, just based on that report,	12:20
18	that they were considered a meaningful competitor.	12:20
19	You know, to what extent meaningful? I don't know,	12:20
20	but I can assure you no one would have bothered to	12:20
21	put it in a report where there's only five or six	12:20
22	items looked at if they didn't consider it	12:20
23	meaningful.	12:20
24	BY MR. KNIGHT:	12:20
25	Q. Okay. Okay. Great. Just a few more	12:20
	Page	83

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 44 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	questions and I think it would be a good time to	12:20
2	break for lunch. All right.	12:20
3	All right. So let's go back to paragraph 80	12:20
4	of your report. And look at the images on page 43,	12:20
5	44, and 45.	12:21
6	A. Okay.	12:21
7	Q. Do you see those?	12:21
8	A. Yes.	12:21
9	Q. Great. Where did you get those drawings	12:21
10	from?	12:21
11	MS. BHATTACHARYYA: Again, Dr. Tuckerman, I	12:21
12	can I'll caution you about the Rule 26	12:21
13	protections.	12:21
14	THE WITNESS: They were supplied by counsel	12:21
15	and included in the first draft of the report.	12:21
16	BY MR. KNIGHT:	12:21
17	Q. Okay. And what format did you receive those	12:21
18	drawings in?	12:21
19	MS. BHATTACHARYYA: Again, same cautions as	12:21
20	before. And, Mr. Knight, do we have that	12:21
21	stipulation in place that if I let Dr. Tuckerman	12:21
22	answer questions about this communication, the	12:21
23	format of communication, that it does not waive any	12:21
24	of the protection does not any Rule 26	12:21
25	protections?	12:22
	Page	84

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 45 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	now, just so you're aware, is on the record, and so	13:42
2	there's no grounds for misinterpretation. We	13:43
3	totally understand what your reservations are.	13:43
4	So if you could just go ahead and annotate	13:43
5	what you consider to be the elongated groove and	13:43
6	with the understanding that your caveats that you	13:43
7	mentioned earlier apply, that would be great.	13:43
8	A. Okay. I don't seem to have control right	13:43
9	now. Oh, wait a minute. Sorry.	13:43
10	Q. All good?	13:43
11	A. Is there an undo or like a control	13:43
12	Q. I believe there is an undo.	13:43
13	A. Oh, yeah. Okay, good. That works at least.	13:43
14	Okay, so	13:43
15	Q. So one point of clarification before we	13:44
16	continue to the annotations. I want to understand.	13:44
17	The hole that you're referring to, is that	13:44
18	two-dimensional?	13:44
19	A. It's an opening so, I mean, it fluid	13:44
20	flows through it.	13:44
21	Q. Okay.	13:44
22	A. So, I mean, so it has no, I mean, it	13:44
23	has it has a vertical dimension in this in	13:44
24	this view.	13:44
25	Q. Okay. Is it fair to assume that the plane	13:44
	Page 1	.13

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 46 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	of the opening is two-dimensional?	13:44
2	MS. BHATTACHARYYA: Objection. Outside the	13:44
3	scope of the report. Vague.	13:44
4	THE WITNESS: Yeah. I don't know what it	13:45
5	means to say "the plane of the opening." I mean,	13:45
6	you can you know, you can take cross sections.	13:45
7	BY MR. KNIGHT:	13:45
8	Q. That's what I'm asking about, about	13:45
9	the elong you mentioned that an opening has a	13:45
10	vertical component to it at the at the top of the	13:45
11	vertical component, if we look at that cross	13:45
12	section, is that a two-dimensional cross section, or	13:45
13	is that	13:45
14	MS. BHATTACHARYYA: Same objection.	13:45
15	THE WITNESS: Well, I would like to go to my	13:45
16	report and the language that I used.	13:45
17	BY MR. KNIGHT:	13:45
18	Q. You can do that, but it seems like this is a	13:45
19	question that you can answer.	13:45
20	A. Well, what I do say is that "the	13:46
21	opening/" paragraph 57:	13:46
22	"The opening/hole in the gasket,	13:46
23	depicted by the red boxes, is the	13:46
24	actual inlet into the microchannels.	13:46
25	But the opening/hole in the gasket	13:46
	Page 1	.14

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 47 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	is not" elongated "'elongate,' as	13:46
2	required by claims 1 and 15."	13:46
3	You know, and I should mention that, to my	13:46
4	mind, "elongate" means significantly longer than its	13:46
5	width.	13:46
6	Q. Okay. So maybe this will help oh, I'm	13:46
7	sorry, Dr. Tuckerman. Is there anything else you	13:46
8	wanted to say?	13:46
9	A. [No audible response.]	
10	Q. I will take that as a no. All right.	13:47
11	What is the definition of "opening" that you	13:47
12	applied in your report?	13:47
13	MS. BHATTACHARYYA: Objection. Form.	13:47
14	THE WITNESS: Just a moment, please. I want	13:47
15	to make sure I am speaking correctly on these	13:47
16	issues. I know that patent language gets very	13:47
17	technical.	13:47
18	Can I have my invalidity report in there as	13:48
19	an exhibit?	13:48
20	BY MR. KNIGHT:	13:48
21	Q. Sure. I can provide it to you. For the	13:48
22	record, I am reintroducing what has previously been	13:49
23	designated as Exhibit 259, which is Dr. Tuckerman's	13:49
24	expert report on the invalidity of the asserted	13:49
25	CoolIT patents.	13:49
	Page 1	.15

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 48 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	A. Is it there yet?	13:49
2	Q. I am introducing it now.	13:49
3	A. Okay.	13:49
4	Q. Okay. It should be there. Do you see it,	13:50
5	Dr. Tuckerman?	13:50
6	A. Oh, yes. There it is. Okay. Wait a	13:50
7	minute. What exhibit was it? It's not showing up.	13:50
8	Q. Exhibit 259.	13:50
9	A. Oh, okay. I see it. It came up. I didn't	13:50
10	go to the bottom of the list. That's why. Okay.	13:50
11	Yeah. All right. Check something out here.	13:51
12	Okay. I am just checking. We are at	13:51
13	paragraph 34 of the report says:	13:51
14	"I further understand that the Court	13:51
15	has declined to construe the terms	13:51
16	'inlet,' 'inlet opening,' 'aperture,'	13:51
17	'outlet opening,' 'inlet/outlet flow	13:51
18	path'," and some other things,	13:51
19	"found that these claim terms should	13:51
20	be given their plain and ordinary	13:51
21	meaning."	13:51
22	So ergo, your question was about the meaning	13:51
23	of "opening"?	13:51
24	Q. Uh-huh. What is the definition that you	13:51
25	applied for opening in your noninfringement report?	13:51
	Page 1	.16

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 49 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1		
1	A. It has a plain and ordinary	13:51
2	MS. BHATTACHARYYA: Objection. Vague.	13:51
3	THE WITNESS: It has a plain it has a	13:52
4	plain and ordinary meaning.	13:52
5	BY MR. KNIGHT:	13:52
6	Q. Okay. And what does what is the plain	13:52
7	and ordinary meaning of "opening" to you?	13:52
8	A. In the context of a fluidic system like	13:52
9	this, it means like a like a port, a hole,	13:52
10	something that the fluid is, you know, passing	13:52
11	through to get from one portion of your device to	13:52
12	another.	13:52
13	So it's a it's for fluid transport. It's	13:52
14	not for fluid distribution. Fluid distribution	13:52
15	would be into microchannels should be a function	13:52
16	of a header.	13:52
17	Q. Okay. So is an opening two-dimensional or	13:52
18	three-dimensional?	13:52
19	A. Well, it can honestly, it can be either.	13:52
20	You know, it depends on context.	13:53
21	Q. Okay. In the context of the CoolIT patents,	13:53
22	is opening two-dimensional or three-dimensional?	13:53
23	A. In the context of these patents, let me take	13:53
24	a look at the do we have the patents are the	13:53
25	patents actually in the exhibits here? I would like	13:53
	Page 1	17

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 50 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	them in the exhibits, please, since we are going to	13:53
2	talk about them.	13:53
3	Q. Sure.	13:53
4	A. Yeah.	13:53
5	Q. So for the record, I am reintroducing what	13:53
6	has been previously designated as Exhibit 263, which	13:53
7	is U.S. 8,746,330; Exhibit 264, which is U.S.	13:54
8	9,603,284; and Exhibit 265, which is U.S.	13:54
9	10,274,266.	13:54
10	They should be in the folder now,	13:54
11	Dr. Tuckerman.	13:54
12	A. Okay. I will refresh. Yeah. Okay. So I	13:54
13	mean, what patent are we talking about for the	13:54
14	purposes of this discussion right now?	13:55
15	Q. For the purposes of this discussion, let's	13:55
16	refer to the '330 patent.	13:55
17	A. All right. Let me open that.	13:55
18	And the other item I would like in the	13:55
19	exhibits available to me is Pokharna's report on	13:55
20	infringement.	13:55
21	MR. KNIGHT: Dr. Tuckerman, I don't think	13:55
22	it's appropriate for you to have to go through all	13:56
23	of the patents and Dr. Pokharna's report in order to	13:56
24	be able to tell me what you apply as a definition	13:56
25	for opening.	13:56
	Page	118

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 51 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	If we are going to do that, then I think	13:56
2	we're going to need more time to depose you because	13:56
3	you should be prepared for that already, having	13:56
4	already refreshed yourself on your report.	13:56
5	MS. BHATTACHARYYA: Mr. Knight, that is not	13:56
6	appropriate. If Dr. Tuckerman needs time to look at	13:56
7	his report and look at the patents to answer his	13:56
8	question, then he should have the time and he at	13:56
9	that time will go on the record.	13:56
10	MR. KNIGHT: I disagree, Arpita, but I note	13:56
11	your objection.	13:56
12	THE WITNESS: Well, I do think it's	13:56
13	appropriate because what I'm addressing are	13:56
14	assertions by Dr. Pokharna, and I you know, where	13:56
15	possible, I would like to use interpretations that,	13:56
16	you know, CoolIT has already agreed upon because, as	13:56
17	I mentioned, you know, in the case of an opening,	13:57
18	even the question of whether it should be considered	13:57
19	three-dimensional or two-dimensional needs to be	13:57
20	construed in the context of a patent, because those	13:57
21	are not precise engineering terms.	13:57
22	You know, to say something has a plain and	13:57
23	ordinary meaning, doesn't mean it has a precise	13:57
24	meaning, you know; rather, the opposite sometimes.	13:57
25	So that's why I'm asking for it because I feel it's	13:57
	Page :	119

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 52 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	most appropriate to be responding to where	13:57
2	possible, to constructions that Dr. Pokharna used.	13:57
3	BY MR. KNIGHT:	13:57
4	Q. Are you saying that the term "opening"	13:57
5	cannot be understood by persons of ordinary skill in	13:57
6	the art without the reference to the CoolIT patents?	13:57
7	MS. BHATTACHARYYA: Objection.	13:57
8	Mischaracterizes prior testimony.	13:57
9	THE WITNESS: What I'm saying is "opening"	13:58
10	is a sufficiently broad term that, in isolation, I	13:58
11	don't think you can say that. You have to look at	13:58
12	what the context of the invention is, where this	13:58
13	opening is referred to, because as I've already	13:58
14	said, whether it's two-dimensional or	13:58
15	three-dimensional is can be context sensitive in	13:58
16	the in ordinary meanings. It has both ordinary	13:58
17	meanings, in my view, so that's why I'm asking for	13:58
18	those documents.	13:58
19	BY MR. KNIGHT:	13:58
20	Q. Okay. So just so I understand, do you	13:58
21	understand what the plain and ordinary meaning is of	13:58
22	"opening"?	13:59
23	MS. BHATTACHARYYA: Objection. Calls for a	13:59
24	legal conclusion.	13:59
25	THE WITNESS: It's I know it when I see	13:59
	Page :	120

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 53 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	it in context. As I said, it doesn't have, all by	13:59
2	itself, a a definition without context. There's	13:59
3	many words whose plain and ordinary meaning is a	13:59
4	function of context.	13:59
5	BY MR. KNIGHT:	13:59
6	Q. Okay. So looking at the context that is the	13:59
7	'330 specification, what is your understanding of	13:59
8	the plain and ordinary meaning of the term	13:59
9	"opening"?	13:59
10	A. Right. Well, let me open it up and take a	13:59
11	look at it, please.	13:59
12	Q. You have the '330 patent.	13:59
13	A. Right. I have the '330 patent. And I would	13:59
14	also like the Pokharna document, please.	13:59
15	Q. I will not provide the Pokharna document.	13:59
16	You have the '330 patent. You told me that it has	14:00
17	to be understood with context. The context for the	14:00
18	term "opening" in the claims would be the '330	14:00
19	patent. So please, Dr. Tuckerman, answer my	14:00
20	question.	14:00
21	MS. BHATTACHARYYA: Mr. Knight, that is	14:00
22	that is not proper. If he wants to refer to	14:00
23	Dr. Pokharna's report because he is rebutting	14:00
24	Dr. Pokharna's report. So his opinions are rebuttal	14:00
25	to Dr. Pokharna's opinion. So I do not understand	14:00
	Page 1	21
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# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 54 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	why you're refusing to show him Dr. Pokharna's	14:00
2	report.	14:00
3	MR. KNIGHT: Okay. Another question	14:00
4	MS. BHATTACHARYYA: He's not giving	14:00
5	independent	14:00
6	BY MR. KNIGHT:	14:00
7	Q. Another question then for you,	14:00
8	Dr. Tuckerman. In order to be able to establish the	14:00
9	plain and ordinary meaning of the term "opening"	14:00
10	within the context of the '330 patent, do you have	14:00
11	to rely on the infringement report of Dr. Pokharna?	14:00
12	MS. BHATTACHARYYA: Objection. Form.	14:00
13	Mischaracterizes prior testimony. Calls for legal	14:00
14	conclusions.	14:01
15	THE WITNESS: Well, I'll put it this way.	14:01
16	These patents with CoolIT are quite the claims	14:01
17	are quite intricately written and, you know,	14:01
18	frankly, compared with many patents I've seen that	14:01
19	are rather straightforward, have almost been, you	14:01
20	know, craftily created to have broad potential	14:01
21	interpretations.	14:01
22	And I think that, you know, CoolIT, you	14:01
23	know, needs to have their position of what it means,	14:02
24	and that's currently Dr. Pokharna's position, and I	14:02
25	think I would like to start from there.	14:02
	Page :	122
	rage :	

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 55 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	The as I say, these are intricately	14:02
2	worded patents, and I don't like to work from memory	14:02
3	on these kind of things.	14:02
4	BY MR. KNIGHT:	14:02
5	Q. Okay. Let's that is just not responsive,	14:02
6	Dr. Tuckerman, so I think let's just restart it. If	14:02
7	you don't want to give me a definition of opening,	14:02
8	that's fine.	14:02
9	But with the understanding that what you're	14:02
10	annotating doesn't include the opening, can you draw	14:02
11	what you consider to be the elongated groove in the	14:02
12	Gen 4, Gen 5, Gen 6 and Gen 7 products?	14:02
13	A. Okay. I am drawing an elongated groove. I	14:02
14	did.	14:03
15	MS. BHATTACHARYYA: Dr. Tuckerman, are you	14:03
16	drawing it on Gen 5?	14:03
17	THE WITNESS: No. I'm trying to scroll.	14:03
18	It's like this to get out of how do I get into	14:03
19	scroll mode? I think I'm in annotation. I'm going	14:03
20	to do an undo. How do I what do I click to be	14:03
21	able to scroll rather than	14:03
22	BY MR. KNIGHT:	
23	Q. I think there is a there's a scroll bar	14:03
24	all the way to the right, right on the outskirts.	14:03
25	A. Oh, okay. Yeah, people's images are	14:03
	Page 1	L23

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 56 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	covering it. I will move them over.	14:03
2	Q. Yeah, there you go.	14:03
3	A. There I go.	14:03
4	Q. And then there is an inner scroll bar that	14:03
5	will allow you to scroll down on the exhibit. It's	14:03
6	to the right of the stamp that says "Exhibit 0279."	14:03
7	A. I'm sorry. Say that again. There's an	14:04
8	Q. Yeah. So if you go to the stamp	14:04
9	Exhibit 0279, to the right of that, slightly to the	14:04
10	right, is another scroll bar, and that will allow	14:04
11	you to scroll up and down the exhibit itself.	14:04
12	A. Oh, okay. I get you. All right.	14:04
13	Q. Uh-huh.	14:04
14	A. Okay.	
15	Q. There you go.	14:04
16	A. Oh, okay. Well, what I'm going to do is	14:04
17	just put a notation. Can I type in text or	14:04
18	something?	14:04
19	Q. Yeah. There's text right there	14:04
20	(indicating), free text.	14:04
21	A. Okay. I guess what I am going to say is	14:04
22	"groove could be construed as extending over the"	14:05
23	I don't know what happened. This thing is all of a	14:05
24	sudden not letting me type.	14:06
25	MS. BHATTACHARYYA: Dr. Tuckerman, you can	14:06
	Page	124

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 57 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	came up in the deposition, and I can't recall	19:03
2	specific testimony about, you know, using the words	19:03
3	that you used.	19:03
4	I can you know, it was a it looked	19:03
5	like it certainly disclosed a split-flow so, you	19:03
6	know, if we want to talk about how it applies, we	19:03
7	should go to my claim chart.	19:03
8	BY MR. KNIGHT:	19:03
9	Q. Dr. Tuckerman, this is well beyond the scope	19:03
10	of the question and, in fact, in your answer, it	19:03
11	seems to me you're saying you do not recall. Is	19:04
12	that correct?	19:04
13	A. I don't recall specifically using exactly	19:04
14	the words that you said.	19:04
15	Q. Okay.	19:04
16	A. Maybe I did, maybe I didn't.	19:04
17	Q. Okay. Okay.	19:04
18	MS. BHATTACHARYYA: Can we take a break? I	19:04
19	need to reload real time.	19:04
20	MR. KNIGHT: Yes.	19:04
21	Miss court reporter, can we go off the	19:04
22	record so that we can look into real time.	19:04
23	THE WITNESS: Okay.	19:04
24	THE VIDEOGRAPHER: Off the record at	19:04
25	3:10 p.m.	19:04
	Page 1	.50

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 58 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	(Off the record.)	19:04
2	THE VIDEOGRAPHER: We are on the record at	15:16
3	3:16 p.m. This is the beginning of media 7 in the	15:16
4	deposition of Dr. David Tuckerman.	15:16
5	BY MR. KNIGHT:	15:17
6	Q. Mr. Tuckerman, could you please go to	15:17
7	Figure 11 and 12 of Hamilton and, correspondingly,	15:17
8	in your invalidity report to Exhibit B, Chart 4, at	15:17
9	pages 3 to 6. Let me know when you're there.	15:17
10	A. Okay.	15:17
11	Q. Okay. Can you describe for me the fluid	15:17
12	flow from the housing inlet opening to the	15:17
13	microchannels in Hamilton?	15:17
14	A. Yeah. The the coolant comes in the	15:17
15	housing. It's that section is labeled let's	15:18
16	see, it comes in through 86 so in plane view. So	15:18
17	there's a port 86, and then it then there is a	15:18
18	plate 24 prime in Figure 12 that is an elongate	15:19
19	inlet opening. And then after that so the fluid	15:19
20	flows in through this port on the end, and then it	15:19
21	goes into the channel labeled "82."	15:19
22	I guess 82 in Figure 11 must be the same as	15:19
23	92 in Figure 12. Well, I should probably check	15:20
24	check the let me pull up the patent itself.	15:20
25	Hamilton patent, is that in the	15:20
	Page :	151

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 59 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	Q. Yep, it should be.	15:20
2	A in the exhibits?	
3	Q. Exhibit 2 0273.	15:20
4	A. 20273. 0273. Okay.	
5	Q. Yep.	
6	A. Okay. All right. [Talking to self.] I'm	15:20
7	opening up yet another window. Screwed up here	15:21
8	okay. So I got that up.	15:22
9	Okay. Well, he's using because he's got	15:22
10	different figures, it appears to me he's using	15:22
11	different numbering, but it's quite clear that fluid	15:22
12	is going in the inlet port the circular inlet	15:22
13	port 86, and then, you know, it should be, you know,	15:22
14	the housing in the housing and housing 24	15:22
15	prime in the well, no, wait a minute. I'm sorry.	15:22
16	Q. Perhaps we can walk through it together.	15:22
17	A. Yeah. Yeah, sure. Okay.	15:22
18	Q. Yeah. So just so I will tell you my	15:22
19	understanding and you can tell me whether I'm right	15:22
20	or wrong. So now, does fluid flow from the housing	15:22
21	inlet port to the inlet port 86?	15:22
22	MS. BHATTACHARYYA: Objection.	15:23
23	Mischaracterizes the reference.	15:23
24	THE WITNESS: Well, I consider it clear from	15:23
25	the figures and the text that the inlet ports are	15:23
	Page 1	L52

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 60 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	circular, and they would be part of 56 prime, you	15:23
2	know.	15:23
3	BY MR. KNIGHT:	15:23
4	Q. Uh-huh. Yep. Yeah, I was just asking if	15:23
5	the housing inlet port fluid flowed from the	15:23
6	housing inlet port to the inlet port 86.	15:23
7	A. Well, the inlet port 86 is I think we	15:23
8	should go to his text	15:23
9	Q. Okay. Sure.	15:24
10	A before we can be specific here.	15:24
11	So okay, the die, column 6 of the patent,	15:24
12	the die 20 double prime sits on a ceramic frame 24	15:25
13	prime I just lost my copy. There we go again.	15:25
14	Okay. Die 20 double prime figure 10 sits	15:25
15	on a ceramic frame	15:25
16	DEPOSITION REPORTER: Dr. Tuckerman, is this	15:25
17	for the record?	
18	THE WITNESS: Well, yes. Yeah.	
19	DEPOSITION REPORTER: Can you please adjust	
20	your camera and read a little slower. Thank you.	15:25
21	THE WITNESS: Oh, I'm sorry. Yes. Very	15:25
22	small print. Okay.	
23	"The die 20 prime sits on a ceramic	15:25
24	frame 24 prime which now includes	15:26
25	three generally rectangular coolant	
	Page 1	53

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 61 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	manifolds 80, 82 and 84 which are	15:26
2	spaced apart as shown in Figure 11."	15:26
3	[Talking to self] Okay. Okay.	15:26
4	So ah, yeah. Right. Okay. I'm	15:26
5	remembering now. The die in Hamilton, I believe,	15:26
6	was integrated yeah, yeah, yeah, okay. Sure. So	15:26
7	it's a microchannel heat sink. It just happens that	15:26
8	the it's actually, the die itself is the	15:26
9	substrate for the microchannels.	15:26
10	And so the over that is the plate that	15:26
11	has the slots, and over over the slots is 56	15:27
12	prime, which would be your housing, and the	15:27
13	there's a circular hole coming out.	15:27
14	So is that clear enough? You're going in	15:27
15	BY MR. KNIGHT:	
16	Q. If you could summarize for me what the fluid	15:27
17	flow is, I think that would be helpful for the	15:27
18	record.	15:27
19	A. Okay. It is Yeah.	15:27
20	So the so what we're yeah, so "the	15:28
21	bottom" in the claim chart:	15:28
22	"The bottom plain/face of manifold	15:28
23	82," which is the plate, "defines an	15:28
24	elongate inlet opening in fluidic	15:28
25	communication with each of the	15:28
	Page 1	.54

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 62 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	microchannels 68 prime. The elongate	15:28
2	inlet opening extends transversely in	15:28
3	relation to the length of each of the	15:29
4	microchannels. Moreover, the elongate	15:29
5	inlet opening is positioned midway	
6	along the length of the microchannels."	15:29
7	Yeah. [As read.]	15:29
8	Q. Mr. Tuckerman, are you reading directly from	15:29
9	your report right now?	15:29
10	A. Yeah. From my claim chart, sure.	15:29
11	Q. Okay. Okay. So can you tell me the fluid	15:29
12	flow path from the housing's inlet opening to the	15:29
13	microchannels?	15:29
14	MS. BHATTACHARYYA: Objection. Vague.	15:29
15	BY MR. KNIGHT:	15:29
16	Q. Are you unable to do that sitting here	15:29
17	today, Dr. Tuckerman?	15:29
18	A. Can you give me a minute, please?	15:29
19	Q. I have given you many minutes,	15:29
20	Dr. Tuckerman.	15:29
21	MS. BHATTACHARYYA: And while Dr. Tuckerman	15:30
22	is reviewing his report, Mr. Knight, are you going	15:30
23	to ask a question about the noninfringement report,	15:30
24	or do you plan to continue asking questions about	15:30
25	the invalidity report, which was the subject of the	15:30
	Page 1	.55

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 63 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	deposition two days ago?	15:30
2	MR. KNIGHT: I understand I understand	15:30
3	that, Arpita. You have to lay a certain foundation	15:30
4	in order to be able to talk about the infringement	15:30
5	report, and Dr. Tuckerman thus far has taken an	15:30
6	extended period of time to answer each question. So	15:30
7	we will get there. I cannot tell you how long it	15:30
8	will take though.	15:30
9	MS. BHATTACHARYYA: Okay. I'm just I'm	15:30
10	just curious because, you know, you're asking	15:30
11	questions about a report that was the subject of a	15:30
12	deposition two days ago, so he hasn't studied this	15:30
13	report for the deposition today. So it's	15:31
14	understandable that he needs some time to reacquaint	15:31
15	himself with his report which was the subject of his	15:31
16	deposition two days ago and the prior art references	15:31
17	and everything.	15:31
18	So if he needs some time, he'll need some	15:31
19	time, but that's why I want to make sure that	15:31
20	MR. KNIGHT: Yep. Nope.	15:31
21	MS. BHATTACHARYYA: Is this a foundational	
22	question?	
23	MR. KNIGHT: Your concern is well taken,	15:31
24	Arpita, and we will get there.	15:31
25	THE WITNESS: Okay. So please go ahead with	15:31
	Page 1	156

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 64 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	BY MR. KNIGHT:	15:58
2	Q. No, I understand that. My question is just	15:58
3	whether that cross-sectional if you take a cross	15:58
4	section, and there's that rectangular, is that	15:58
5	present in each of the accused Asetek Gen devices?	15:58
6	MS. BHATTACHARYYA: Same objection. Asked	15:58
7	and answered.	15:58
8	THE WITNESS: You will you will have a	15:58
9	if you take a cross section of that region in the	15:58
10	plane of the you know, in a plane parallel to the	15:58
11	microchannels	15:58
12	BY MR. KNIGHT:	
13	Q. Uh-huh.	
14	A you will have a rectangle.	15:58
15	Q. Okay. Okay. And does fluid flow through	15:58
16	that rectangle?	15:58
17	MS. BHATTACHARYYA: Objection.	15:58
18	Mischaracterizes Dr. Pokharna's report. Outside the	15:58
19	scope of Dr. Tuckerman's report. Also, objection	15:59
20	vague.	15:59
21	THE WITNESS: Well, that's the fluid	15:59
22	accesses the microchannels, and if you take a	15:59
23	cross-sectional cut in the right place, you know, so	15:59
24	fluid would be flowing, you know, initially	16:00
25	perpendicular to it.	16:00
	Page 1	.68

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 65 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	BY MR. KNIGHT:	16:00
2	Q. Okay. Okay. So, Dr. Tuckerman, why	16:00
3	is the two-dimensional rectangle beneath inlet	16:00
4	manifold 82 in Hamilton an elongated inlet opening,	16:00
5	but the two-dimensional rectangle beneath what	16:00
6	Dr. Pokharna labels the "inlet header" not an	16:00
7	elongated inlet opening?	16:00
8	MS. BHATTACHARYYA: Objection.	16:00
9	Mischaracterizes Dr. Pokharna's report. Outside the	16:00
10	scope of Dr. Tuckerman's report.	16:00
11	THE WITNESS: Okay. Repeat the question,	16:00
12	please.	16:00
13	BY MR. KNIGHT:	16:00
14	Q. Uh-huh. Why is the two-dimensional	16:00
15	rectangle beneath inlet manifold 82 in Hamilton an	16:00
16	elongated inlet opening, but the two-dimensional	16:00
17	rectangle beneath what Dr. Pokharna labels the	16:01
18	"inlet header" not an elongated inlet opening?	16:01
19	MS. BHATTACHARYYA: Same objections as	16:01
20	before.	16:01
21	THE WITNESS: Well, for one thing, if you	16:01
22	you have the issue of let's see. Let me look at	16:01
23	the whole claim. I want to go to my invalidity	16:01
24	report, just Remind me what my invalidity report	16:02
25	exhibit number is.	16:02
	Page 1	69

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 66 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	BY MR. KNIGHT:	16:02
2	Q. Sure. I will pull it up. There are a lot	16:02
3	of exhibits.	16:02
4	A. There are.	16:02
5	Q. It is Exhibit 259.	16:03
6	A. Okay. And is there a particular patent that	16:03
7	is at issue here in your question?	16:03
8	Q. Yes. So Hamilton the Hamilton ground	16:03
9	that you discussed in your invalidity report relates	16:03
10	to the '284 patent.	16:03
11	A. '284 patent. All right.	16:03
12	Q. Uh-huh.	
13	A. Wait. I'm sorry. I didn't mean invalidity	16:03
14	report. I meant the infringement report. I want to	16:03
15	go to the infringement report. What was that	16:03
16	exhibit?	16:03
17	Q. So just to be clear, do you want	16:03
18	A. Noninfringement.	16:03
19	Q. Your noninfringement. Okay.	16:03
20	A. Yes.	
21	Q. Okay. I will give that to you now. Okay.	16:03
22	A. That's what exhibit?	
23	Q. That is Exhibit 266.	16:03
24	A. Okay. [Talking to self.] I guess I didn't	16:03
25	download it yet, so let me download it now.	16:04
	Page 1	.70

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 67 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	Q. Okay.	16:04
2	A. 266. I actually don't see it.	16:04
3	MS. BHATTACHARYYA: Dr. Tuckerman, that	16:04
4	should be at the very top of the list, I believe.	16:04
5	THE WITNESS: Oh, it says Exhibit 001 and it	16:04
6	was kind of cut off. Thank you. That's why I	16:04
7	didn't see it.	16:04
8	MR. KNIGHT: Thank you, Arpita.	16:04
9	THE WITNESS: Yeah. All right. Okay.	16:04
10	Maybe I already downloaded it. I'm not sure, but I	16:04
11	will download it again. Okay. There it goes.	16:04
12	Okay. It confused me to call it 001. All right.	16:04
13	Okay. Now I can open it. All right. And	16:05
14	you said the '284 patent; right?	16:05
15	BY MR. KNIGHT:	16:05
16	Q. Your mapping of Hamilton relates to the '284	16:05
17	patent. Yes?	16:05
18	A. Right. And all right. So in my report,	16:05
19	I start discussing it on page 24, it appears.	16:05
20	Okay. So paragraph 57, the opening/hole in	16:06
21	the gasket depicted by red boxes that are shown	16:06
22	in on page 27 is the actual inlet into the	16:06
23	microchannels. The opening hole in the gasket is	16:06
24	not elongate, so that little low-aspect ratio	16:06
25	rectangle, I would not consider elongate. It's not.	16:06
	Page 1	71

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 68 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	And it's even clearer on the Gen 5, it's not	16:06
2	elongate, nor does it open to or is in direct fluid	16:06
3	communication with each of the microchannels.	16:07
4	Rather, the opening hole is short and	16:07
5	extends over only a few of the fins microchannels,	16:07
6	so the opening hole by itself, without the groove in	16:07
7	the gasket, does not satisfy the limitations of the	16:07
8	independent claim so so that's the assertion, my	16:07
9	assertion. And so it would seem that it's not at	16:07
10	that point, it becomes not relevant, you know,	16:07
11	what	16:07
12	Q. Well, a couple things, Dr. Tuckerman. One,	16:07
13	that wasn't responsive to my question; and two,	16:07
14	regardless of whether you think it is relevant or	16:07
15	not, you still have an obligation to answer my	16:07
16	question.	16:07
17	A. Of course.	16:07
18	Q. So my question to you again is: Why is a	16:08
19	two-dimensional rectangle beneath inlet manifold 82	16:08
20	in Hamilton an elongated inlet opening, but the	16:08
21	two-dimensional rectangle beneath what Dr. Pokharna	16:08
22	labels as the "inlet header" not an elongated inlet	16:08
23	opening?	16:08
24	MS. BHATTACHARYYA: Objection.	16:08
25	Mischaracterizes prior testimony. Asked and	16:08
	Page	172

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 69 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	answered. Mischaracterizes Dr. Pokharna's report	16:08
2	and outside the scope of Dr. Tuckerman's report.	16:08
3	THE WITNESS: Do I say it's not an elongated	16:08
4	opening anywhere in my report?	16:08
5	BY MR. KNIGHT:	16:08
6	Q. You do not.	16:08
7	A. Okay.	16:08
8	Q. So are you saying it is an elongated inlet	16:08
9	opening?	16:08
10	MS. BHATTACHARYYA: Objection.	16:08
11	Mischaracterizes prior testimony. The document	16:08
12	speaks for itself. And by "document," I mean	16:08
13	Dr. Tuckerman's noninfringement report.	16:08
14	THE WITNESS: Well, you know, I don't see	16:09
15	that I need to take positions on things that are	16:09
16	not, you know, required to make the case. It	16:09
17	just	16:09
18	BY MR. KNIGHT:	
19	Q. I mean	16:09
20	MS. BHATTACHARYYA: I think we lost Dustin.	16:09
21	MR. KNIGHT: Sorry about that. I'm having	16:09
22	technical difficulties.	16:09
23	BY MR. KNIGHT:	16:09
24	Q. Dr. Tuckerman, I know you haven't served as	16:10
25	an expert before, but you are an expert, and I am	16:10
	Page 1	.73

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 70 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	asking your opinion. And so are you able to answer	16:10
2	my question?	16:10
3	MS. BHATTACHARYYA: Objection. Asked and	16:10
4	answered. Outside the scope of Dr. Tuckerman's	16:10
5	report.	16:10
6	THE WITNESS: Repeat the question again,	16:10
7	please.	16:10
8	BY MR. KNIGHT:	16:10
9	Q. Sure. Give me one moment. So just to give	16:10
10	context, so I originally asked you the question,	16:10
11	"Why is a two-dimensional rectangle beneath inlet	16:10
12	manifold 82 in Hamilton an elongated opening, but	16:10
13	the two-dimensional rectangle beneath what	16:10
14	Dr. Pokharna labels as an "inlet header" is not an	16:10
15	elongated in let opening?"	16:10
16	And your response to me was, "Do I say it's	16:10
17	not an elongated opening anywhere in my report?"	16:10
18	I respond to you, "You do not."	16:11
19	And then my question to you after that was,	16:11
20	"So are you saying it is an elongated inlet	16:11
21	opening?"	16:11
22	MS. BHATTACHARYYA: Objection.	16:11
23	Mischaracterizes prior testimony. Asked and	16:11
24	answered. Mischaracterizes Dr. Pokharna's report.	16:11
25	Outside the scope of Dr. Tuckerman's report.	16:11
	Page :	174

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 71 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	THE WITNESS: I would have to think about it	16:11
2	in the cont you know, if we're talking in the	16:11
3	context of CoolIT's claims. It just I didn't	16:11
4	need that argument to I mean, I think a	16:11
5	noninfringement argument that question as to	16:11
6	whether I don't see I don't see that it comes	16:11
7	up. I mean	16:12
8	BY MR. KNIGHT:	
9	Q. Well, Dr. Tuckerman, we are entitled to	16:12
10	explore inconsistencies between your noninfringement	16:12
11	and your invalidity position. So just to be clear,	16:12
12	are you refusing to answer my question?	16:12
13	A. No, I'm not. I'm not	16:12
14	MS. BHATTACHARYYA: Mr. Knight, he's not.	16:12
15	You know he's not. You know his noninfringement	16:12
16	report is a rebuttal to the infringement report,	16:12
17	right? So maybe you should just	16:12
18	MR. KNIGHT: Arpita, are you testifying? Is	16:12
19	there an objection here? Because if there is not, I	16:12
20	would ask you to stop.	16:12
21	MS. BHATTACHARYYA: You are accusing him of	16:12
22	not answering questions. He is.	16:12
23	MR. KNIGHT: I'm asking if he can answer my	
24	question.	
25	MS. BHATTACHARYYA: If you ask the	16:12
	Page 1	75

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 72 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	question I just ask	16:12
2	MR. KNIGHT: Arpita, please stop.	16:12
3	BY MR. KNIGHT:	16:12
4	Q. Dr. Tuckerman, are you able to answer my	16:12
5	question?	16:12
6	MS. BHATTACHARYYA: Rephrase your question	16:12
7	or move on.	16:12
8	BY MR. KNIGHT:	16:12
9	Q. Are you refusing to answer my question,	16:12
10	Dr. Tuckerman?	16:12
11	A. I'm not refusing to answer the question. I	16:12
12	would say that one could potentially take that	16:13
13	position. If you were going to take that position,	16:13
14	which was not taken in Dr. Pokharna's report, I	16:13
15	would reserve the right to challenge the reasoning,	16:13
16	if if necessary.	16:13
17	I just don't have the, shall we say, the	16:13
18	cognitive ability to work through all the issues of	16:13
19	taking that position because it is not the position	16:13
20	that Dr. Pokharna took. So I won't rule out that	16:13
21	you could take a position like that. And if you'd	16:13
22	like to take a position like that, then we can, you	16:13
23	know, do a follow-up filing or something, I guess is	16:13
24	the way I'd answer the question.	16:14
25	MR. KNIGHT: Okay. I think it's a good time	16:14
	Page 1	L76

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 73 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	Q. Okay. So in claims 1, 12 and 14, do those	16:35
2	claims expressly recite that the claimed plate	16:35
3	has compliant surfaces?	16:35
4	A. Let me	16:35
5	DEPOSITION REPORTER: Excuse me. Mr.	16:35
6	Knight, can I have that question again?	
7	"So in claims 1, 12 and 14"	16:35
8	BY MR. KNIGHT:	16:35
9	Q. Yeah. Do claims 1, 12 and 14 of the '330	16:35
10	patent expressly recite that the claim plate has	16:35
11	compliant surfaces?	16:35
12	A. Well, I mean, I just did a search on the PDF	16:35
13	for the word "compliant" and found it nowhere in the	16:36
14	patent, so I would say no.	16:36
15	Q. Okay. And do claims 1, 12 and 14 of the	16:36
16	'330 patent expressly recite the material of the	16:36
17	claimed plate?	16:36
18	A. No. It's they're silent on the issue of	16:36
19	material.	16:36
20	Q. Okay. Dr. Tuckerman, in preparation for	16:36
21	your noninfringement report, did you review the	16:37
22	PTAB's final written decision in the IPR of the '266	16:37
23	patent?	16:37
24	A. You know, I reviewed it a long time ago.	16:37
25	Q. Okay.	16:37
	Page 1	.82

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 74 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	A. Yeah.	
2	Q. But you did review it; is that correct?	16:37
3	A. Well, let me let me make sure we are	16:37
4	talking about the right thing. Can I see my let	16:37
5	me look at the Materials Considered list.	16:37
6	Q. It should be there. And just for your	16:37
7	reference, the IPR of the '266 patent is	16:37
8	IPR2020-00825.	16:37
9	A. My Materials Considered exhibit is which	16:38
10	one, if you can help me out?	16:38
11	Q. Yeah. Let me pull up the list. It should	16:38
12	be Exhibit 276.	16:38
13	A. Yes. Okay. All right. And the document	16:38
14	you're referring to is?	16:38
15	Q. It's the final written decision in	16:38
16	IPR2020-00825.	16:38
17	A. Yes. That is in Materials Considered.	16:38
18	Q. Okay. Now, Dr. Tuckerman, is it your	16:38
19	understanding from the PTAB decision that they	16:38
20	construed the term "plate" and decided there was no	16:38
21	support and specification for a plate made of	16:38
22	compliant material?	16:38
23	MS. BHATTACHARYYA: Objection. Calls for a	16:39
24	legal conclusion.	16:39
25	THE WITNESS: Just one moment, please.	16:39
	Page :	183

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 75 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	BY MR. K	NIGHT:	
2	Q.	Uh-huh.	
3	А.	So I think, you know, in paragraph 52 of m	ny 16:41
4	noninfri	ngement report	16:41
5	Q.	Uh-huh.	16:41
6	Α.	I state:	16:41
7		"In fact, in the IPR filed by Asetek	16:41
8		against CoolIT's '266 patent"	16:41
9		By the way, is that the patent you had me	16:41
10	pull up,	or did you have me pull up a different one	2? 16:41
11	Q.	I had you pull up the '330 patent, but the	16:41
12	'266 pate	ent and the '330 patent both refer to a	16:41
13	plate.		16:41
14	A.	Right. Okay. So in But in regard to	16:41
15	the '266	patent:	16:41
16		"The PTAB agreed with Asetek that the	16:41
17		2007 provisional does not contain a	16:41
18		disclosure that would have conveyed	16:41
19		to a person of ordinary skill in the	16:41
20		art that the inventor had possession	16:41
21		of a manifold body defining a pair	16:41
22		of compliant surfaces (PTAB	16:42
23		IPR2020-00825 final written decision	16:42
24		at 23)." [As read.]	16:42
25		So that is the document you're referring t	:0? 16:42
		Pag	re 184

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 76 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	Q.	Uh-huh.	
2	А.	"PTAB also found that the inventor	16:42
3		substitution of the phrase 'rigid	16:42
4		plate' for the phrase 'plate 240'	16:42
5		in the '266 patent is objective	16:42
6		intrinsic evidence that, as of 2012,	16:42
7		the inventor considered plate 240,	16:42
8		which he was contrasting with	16:42
9		compliant insert 334, to be made of	16:42
10		a rigid rather than compliant	16:42
11		material. As the PTAB found the term	16:42
12		'plate' in the '330 patent claims, as	16:42
13		well as the '284 patent claims in	16:42
14		claims 13 and 15 of the '266 patent"	16:42
15		[As read.]	
16		Remind me again, was that the one we were	16:43
17	looking	at or	16:43
18	Q.	We were looking at the '330 patent, but	16:43
19	again, b	oth the '330 patent and the '266 patent	16:43
20	include	the term "plate."	16:43
21	А.	Right. Okay.	16:43
22		"The '330 patent claim cannot be	16:43
23		construed to include both a rigid	16:43
24		plate as well as a compliant gasket	16:43
25		manifold because there is no written	16:43
		Page	185

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 77 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1		
1	description support for a compliant	16:43
2	manifold body in the 2007 provisional	16:43
3	or the '330 patent." [As read.]	16:43
4	Does that answer the question or	16:43
5	Q. I understand that you recited for me what is	16:43
6	in your report, but I don't think it answers my	16:43
7	question. So I will ask my question again.	16:43
8	Is it your understanding that from the	16:43
9	PTAB decision, that they construed the term "plate"	16:43
10	and then they decided there was no support in the	16:43
11	specification for a plate made of compliant	16:43
12	material?	16:43
13	MS. BHATTACHARYYA: Objection. Asked and	16:43
14	answered.	16:43
15	THE WITNESS: I have I mean, I quoted you	16:44
16	verbatim from the report. It	16:44
17	BY MR. KNIGHT:	
18	Q. I understand that, Dr. Tuckerman, and	16:44
19	then you	16:44
20	A. I don't I will put it this way. I don't	16:44
21	see support for a compliant plate, you know, in I	16:44
22	mean, I guess I'm not sure where how to answer	16:44
23	it. I thought it kind of the excerpts here, I	16:44
24	thought, speak for themselves. Or is is there a	16:44
25	different does your question mean something	16:45
	Page 1	.86
I		

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 78 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	different than what I just said, and if so, what do	16:45
2	you see	16:45
3	Q. Yeah. So I'm not asking you to read your	16:45
4	report. I'm actually asking you a question about	16:45
5	whether your understanding is that the PTAB in the	16:45
6	final written description construed the term	16:45
7	"plate."	16:45
8	MS. BHATTACHARYYA: Objection. Vague.	16:45
9	Asked and answered. Calls for a legal conclusion.	16:45
10	THE WITNESS: I would have to re-read that	16:45
11	report in detail to see if they construed the term	16:45
12	"plate." Do you want me to take a look through the	16:45
13	report or	16:45
14	BY MR. KNIGHT:	
15	Q. I don't.	16:45
16	A. Okay.	16:45
17	Q. Now, but just to be clear, Dr. Tuckerman,	16:45
18	you considered the final written decision when	16:45
19	preparing the noninfringement report; is that	16:45
20	correct?	16:45
21	A. Yes, as indicated by excerpts from it.	16:45
22	Q. Okay. And did you read the final written	16:46
23	decision in IPR2020-00825 from beginning to end in	16:46
24	preparation for your noninfringement report?	16:46
25	A. I have read object sorry. You have ar	n 16:46
	Page	187

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 79 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	objection, Arpita?	16:46
2	MS. BHATTACHARYYA: No. Just go ahead and	16:46
3	answer.	16:46
4	THE WITNESS: Okay. I have read thousands	16:46
5	of pages of material that were given to me, and that	16:46
6	report was included in it. So at one time, it was	16:46
7	read through, you know, in the limited time there	16:46
8	was to prepare this rebuttal, the I can't say for	16:46
9	sure that I read the whole report through again.	16:46
10	I think that having a you know, having	16:47
11	material you know, I mean, if I give a textbook,	16:47
12	say, as Materials Considered that doesn't mean	16:47
13	everything in the textbook is relevant. So what's	16:47
14	excerpted here are, in my view, relevant passages.	16:47
15	BY MR. KNIGHT:	16:47
16	Q. So sitting here today, you can't tell me	16:47
17	whether the PTAB final written decision provides a	16:47
18	construction for the term "plate" or not, can you?	16:47
19	MS. BHATTACHARYYA: Objection. Same	16:47
20	objections. Asked and answered multiple times now.	16:47
21	THE WITNESS: Without an opportunity to	16:47
22	refresh my memory by reading the report in its	16:47
23	entirety, I cannot answer that affirmatively.	16:47
24	BY MR. KNIGHT:	16:47
25	Q. Okay. Okay. All right. In your invalidity	16:47
	Page :	188

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 80 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	report, you map the claimed plate to several prior	16:48
2	art references; is that correct?	16:48
3	A. Yeah, I	16:48
4	Q. Okay. Great. It is just to confirm. It is	16:48
5	not a trick question. I'm just laying a foundation.	16:48
6	A. That wasn't a fair	16:48
7	MR. KNIGHT: Yeah. Okay.	16:48
8	I would like to introduce into the record	16:48
9	what I will designate as Exhibit 0281.	16:48
10	(Exhibit 281 marked for identification.)	16:48
11	BY MR. KNIGHT:	16:48
12	Q. Exhibit 0281 is United States Patent	16:48
13	Application Publication 2006/0096738 to Kang.	16:48
14	MS. BHATTACHARYYA: I'm not seeing the	16:49
15	exhibit yet.	16:49
16	MR. KNIGHT: Okay. I just introduced the	16:49
17	exhibit. Can you let me know if you see it?	16:49
18	THE WITNESS: What is the number of the	16:49
19	exhibit?	16:49
20	MR. KNIGHT: It should be exhibit 0281.	16:49
21	THE WITNESS: Okay. I got it.	16:49
22	BY MR. KNIGHT:	16:49
23	Q. Great. If you can open that up for me.	16:49
24	A. It's open.	16:49
25	Q. Great. Okay. Can you go to Figure 2 in	16:49
	Page 1	89

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 81 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	outlet region and the second outlet region through	17:49
2	that white connected region below, both outlet	17:49
3	regions?	17:49
4	A. No. It's certainly not supposed to, no.	17:49
5	Q. So it can't is what you're saying?	17:49
6	A. No.	17:50
7	Q. Okay.	17:50
8	A. No.	17:50
9	Q. Okay.	17:50
10	A. I mean, there's a this basically this	17:50
11	central thing makes makes a seal between the two.	17:50
12	Q. So okay.	
13	A. You can't you can't go connect between.	17:50
14	Q. So you're saying that the seal isolates one	17:50
15	of the outlet passages from the other?	17:50
16	A. Yeah. I mean, until you they do I	17:50
17	mean, obviously, the flows have to they're coming	17:50
18	together in this in this upper volume. There's	17:50
19	some open space between this assembly and here	17:50
20	(indicating), and they would converge at this hole	17:50
21	here. So that's where the flows would would	17:51
22	recombine and they're actually, you know, going	17:51
23	going into the pump in this case.	17:51
24	Q. Uh-huh. And is that that point where	17:51
25	they recombine, is that before the outlet of the	17:51
	Page :	211

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 82 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	housing?	17:51
2	A. I'm sorry, I didn't hear the question.	17:51
3	Q. The point that you the area that you	17:51
4	pointed to where the flows recombine, is that before	17:51
5	the outlet of the housing, or is it after?	17:51
6	MS. BHATTACHARYYA: Objection.	17:51
7	Mischaracterizes objection. Mischaracterizes	17:51
8	prior testimony. Mischaracterizes Dr. Tuckerman's	17:51
9	noninfringement report.	17:51
10	THE WITNESS: Well, so in Dr. Pokharna's	17:52
11	claim construction, it says in my report:	17:52
12	"He does not indicate the outlet port	17:52
13	and/or the passageway leading out of	17:52
14	the fluid heat exchanger housing (to	17:52
15	the pump or radiator), as part of the	17:52
16	outlet passage"	
17	(Clarification requested by Reporter.)	
18	THE WITNESS: Oh, "to the" where did I	
19	stop?	
20	"(to the pump or radiator), as	17:52
21	part of the outlet passage.	17:53
22	Therefore, under Dr. Pokharna's own	17:53
23	mappings, Asetek's Gen 4, 5, 6 and 7	17:53
24	products do not meet the limitation,	17:53
25	the two subflows recombine in the outlet	17:53
	Page :	212

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 83 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	passage." [As read.]	17:53
2	So in his mapping, which you know, which	17:53
3	is what I'm using.	17:53
4	BY MR. KNIGHT:	17:53
5	Q. I understand that you have recited to me	17:53
6	what you believe his mapping to be. But I'm asking	17:53
7	in your opinion. So I will repeat my question just	17:53
8	to get your opinion on this.	17:53
9	Give me a moment. So the area that you	17:53
10	pointed to where the flows recombine, is that before	17:53
11	the outlet of the housing, or is it after?	17:53
12	MS. BHATTACHARYYA: Objection.	17:53
13	Mischaracterizes prior testimony and Dr. Tuckerman's	17:53
14	noninfringement report.	17:53
15	THE WITNESS: Let's go to the claim chart.	17:53
16	BY MR. KNIGHT:	17:54
17	Q. Dr. Tuckerman, are you unable to answer that	17:54
18	question without referencing your report?	17:54
19	A. I'm kind of tired and so I, you know, want	17:54
20	to make sure I just am, you know, not just spewing	17:54
21	random words out of tiredness. And I think it's	17:54
22	appropriate, given that I am responding to Pokharna,	17:54
23	to put up the claim chart. So you could save time	17:54
24	if you could identify the relevant claim chart.	17:54
25	Q. What claim chart are you referring to,	17:54
	Page 2	213

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 84 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	Dr. Tuckerman?	17:54
2	A. Not claim chart. Noninfringement exhibit is	17:54
3	what I meant. Well	17:55
4	Q. I'm not following, Dr. Tuckerman.	17:55
5	A. Hang on just a moment.	17:55
6	Q. Uh-huh.	
7	A. Wait a minute. I'm at Pokharna's charting,	17:55
8	but I mean, I don't I guess I don't really need	17:55
9	that.	17:55
10	Q. Yeah. You have the device in front of you;	17:55
11	correct?	17:55
12	A. I do have the device in front of me.	17:55
13	Q. And you pointed out where the two flows can	17:55
14	recombine; is that correct?	17:55
15	A. Yes, at this hole (indicating).	17:55
16	Q. Right. And my question is just: Do those	17:55
17	two flows recombine before the housing outlet or	17:55
18	after the housing outlet?	17:56
19	MS. BHATTACHARYYA: Objection.	17:56
20	Mischaracterizes prior testimony. Mischaracterizes	17:56
21	the CoolIT's patent claims. Mischaracterizes	17:56
22	Dr. Pokharna's infringement report.	17:56
23	THE WITNESS: Yeah. I mean, I think I need	17:56
24	Dr. Pokharna's infringement report so I can I	17:56
25	want to make sure I'm being consistent with his	17:56
	Page 2	14

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 85 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	tests to form your opinion with respect to the	18:18
2	outlet flow passage?	18:18
3	MS. BHATTACHARYYA: Objection. Compound.	18:18
4	BY MR. KNIGHT:	18:19
5	Q. Let me rephrase. With respect to the Gen 5	18:19
6	product, did you perform any tests to form your	18:19
7	opinion regarding the outlet flow passage?	18:19
8	A. I didn't	18:19
9	MS. BHATTACHARYYA: Objection. Outside the	18:19
10	scope of the report.	18:19
11	BY MR. KNIGHT:	18:19
12	Q. Okay. With respect to the Gen 6 accused	18:19
13	product, did you perform any tests to form your	18:19
14	opinion regarding the outlet flow passage?	18:19
15	A. I did not.	18:19
16	MS. BHATTACHARYYA: Same objection.	18:19
17	BY MR. KNIGHT:	18:19
18	Q. And with respect to Gen 7 accused device,	18:19
19	did you perform any tests to form your opinion with	18:19
20	respect to the outlet flow passage?	18:19
21	MS. BHATTACHARYYA: Same objection.	18:19
22	THE WITNESS: I did not feel it was	18:19
23	necessary to render this opinion.	18:19
24	BY MR. KNIGHT:	18:19
25	Q. Okay. Now, for the Gen 5 through 7	18:19
	Page :	228

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 86 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	products, do the flows recombine before the fluid	18:19
2	exits the outlet port?	18:20
3	MS. BHATTACHARYYA: Objection. Compound.	18:20
4	THE WITNESS: They recombine at the outlet	18:20
5	port.	18:20
6	MR. KNIGHT: Okay. One moment.	18:20
7	BY MR. KNIGHT:	18:20
8	Q. Is it fair to say that they recombine at a	18:20
9	two-dimensional surface before the outlet port?	18:20
10	MS. BHATTACHARYYA: Objection.	18:20
11	Mischaracterizes Dr. Pokharna's report and	18:20
12	Dr. Tuckerman's report.	18:20
13	THE WITNESS: I have a real problem with the	18:21
14	meaning of that question. It's not a precise	18:21
15	question in technical terminology so I	18:21
16	BY MR. KNIGHT:	18:21
17	Q. What don't you understand about that	18:21
18	question?	18:21
19	A. Repeat the question again.	18:21
20	Q. Sure. My question originally was: Is it	18:21
21	fair to say that the two subflows recombine at a	18:21
22	two-dimensional surface before the outlet port?	18:21
23	MS. BHATTACHARYYA: Objection.	18:21
24	Mischaracterizes Dr. Pokharna's report and	18:21
25	Dr. Tuckerman's noninfringement report.	18:21
	Page 2	29
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# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 87 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	THE WITNESS: Well, that's not something I	18:21
2	took a position on, nor did Pokharna, and it is	18:22
3	I'm not even sure a meaningful question in the	18:22
4	context of fluid mechanics. You	18:22
5	BY MR. KNIGHT:	
6	Q. I am asking you the question now though,	18:22
7	Dr. Tuckerman.	18:22
8	A. The what?	18:22
9	Q. I'm asking you the question now though,	18:22
10	Dr. Tuckerman.	18:22
11	A. Yeah. And I'm saying, technically, I	18:22
12	consider that not a question that makes sense.	18:22
13	Q. Right. And I have asked you what about that	18:22
14	question doesn't make sense?	18:22
15	MS. BHATTACHARYYA: Dustin, do you want to	18:22
16	repeat the question?	18:22
17	MR. KNIGHT: Yeah. I can do that.	18:22
18	BY MR. KNIGHT:	18:22
19	Q. So my question was originally, was it is	18:22
20	it fair to say that the two subflows recombine at a	18:22
21	point that is represented by a two-dimensional	18:22
22	surface before the outlet port?	18:23
23	MS. BHATTACHARYYA: Objection.	18:23
24	Mischaracterizes Dr. Pokharna's report and	18:23
25	Dr. Tuckerman's noninfringement report.	18:23
	Page 2	30

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 88 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	BY MR. KNIGHT:	18:23
2	Q. I can rephrase it for you a little bit.	18:23
3	For the Gen 5 through 7 products, do the two	18:23
4	subflows recombine at a point before they enter the	18:23
5	hole of the outlet port?	18:23
6	MS. BHATTACHARYYA: Objection. Compound.	18:23
7	Objection. Mischaracterizes Dr. Pokharna's report	18:23
8	and Dr. Tuckerman's noninfringement report.	18:23
9	THE WITNESS: Let me Just a moment.	18:23
10	MS. BHATTACHARYYA: Soseh, while	18:24
11	Dr. Tuckerman is looking through his report, can you	18:24
12	give me a read on the time?	18:24
13	THE VIDEOGRAPHER: Yeah. Just one second.	18:24
14	So we had 42 minutes left and we have been	18:24
15	on the record for 41 minutes.	18:24
16	MS. BHATTACHARYYA: Thank you, Soseh.	18:25
17	THE WITNESS: Okay. Well, I'm going to	18:26
18	quote from a section from the report.	18:26
19	"In each generation of Asetek product,	18:26
20	the gaskets/'seal' separates the flow"	18:26
21	this is paragraph 65 of my rebuttal	18:26
22	"the gaskets/'seal' separates the	18:26
23	flow paths of cooling liquid from the	18:26
24	first and second 'outlet regions' to	18:26
25	the outlet port, such so there is no	18:26
	Page 2	31

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 89 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	recombination/mixing of the cooling	18:26
2	liquid received by the first and	
3	second outlet regions. In particular,	18:26
4	Asetek's Generation 5 and 7 products,	18:26
5	cooling liquid received by the 'second	18:26
6	outlet region' flows directly to the	18:26
7	outlet port, and cooling liquid	
8	received by the 'first outlet region'	
9	takes a separate, longer path to the	
10	outlet port."	18:27
11	That was the picture I showed where it went	18:27
12	like that (indicating).	18:27
13	"Therefore, the two sub flows do not	18:27
14	recombine, nor is there a single	18:27
15	outlet passage (per Dr. Pokharna's	18:27
16	mapping), in which the two sub flows	18:27
17	recombine before reaching the outlet	18:27
18	port."	18:27
19	BY MR. KNIGHT:	18:27
20	Q. Dr. Tuckerman, my question didn't ask you to	18:27
21	read back your report to me. I would ask you I	18:27
22	would ask you to answer my question. Do the two	18:27
23	flows recombine at a point before they enter the	18:27
24	hole of the outlet for the Gen 5 through 7 products?	18:27
25	MS. BHATTACHARYYA: Dr. Tuckerman, I'm	18:27
	Page 2	32

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 90 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	instructing you not to answer any more questions.	18:27
2	Counsel had seven hours of deposition time with you	18:27
3	on the record and seven hours is up, so this	18:27
4	deposition, Counsel, cross-examination is over.	18:27
5	MR. KNIGHT: Just for the record, I am	18:27
6	keeping Dr. Tuckerman's deposition open because I	18:27
7	was not able to finish my questioning due to the	18:28
8	amount of time Dr. Tuckerman took in answering many	18:28
9	of my questions and his general lack of preparedness	18:28
10	for this deposition, as well as the numerous	18:28
11	speaking objections and interruptions by Asetek's	
12	counsel throughout the day. I reserve my right to	18:28
13	move to compel for additional time to complete	18:28
14	Dr. Tuckerman's deposition.	18:28
15	MS. BHATTACHARYYA: I disagree.	18:28
16	Dr. Tuckerman answered all your questions	18:28
17	completely, truthfully and to the best of his	18:28
18	ability. You did not use your seven hours of	18:28
19	deposition time appropriately. You wasted a lot of	18:28
20	time asking improper and irrelevant questions and	18:28
21	asking incomplete and improper hypotheticals.	18:28
22	Dr. Tuckerman tried did his best to answer to the	18:28
23	best of his ability. Your seven hours is up. You	18:28
24	should have used your time better.	18:28
25	MR. KNIGHT: I respectfully disagree.	18:28
	Page	233

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 91 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	
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4	
5	I, David B. Tuckerman, do hereby declare under
6	penalty of perjury that I have read the foregoing
7	transcript; that I have made corrections as appear
8	noted, in ink, initialed by me, or attached hereto; that
9	my testimony as contained herein, as corrected, is true
10	and correct.
11	EXECUTED this,
12	2022, at
13	(City) (State)
14	
15	
16	
	<del></del>
17	David B. Tuckerman
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25	
	Page 235

# Case 3:19-cv-00410-EMC Document 397-4 Filed 03/31/22 Page 92 of 94 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	I, JANIS JENNINGS, CSR No. 3942, Certified
2	Shorthand Reporter, certify:
3	That the foregoing proceedings were taken
4	before me at the time and place therein set forth, at
5	which time the witness was duly sworn by me;
6	That the testimony of the witness, the
7	questions propounded, and all objections and statements
8	made at the time of the examination were recorded
9	stenographically by me and were thereafter transcribed;
10	That the foregoing pages contain a full, true
11	and accurate record of all proceedings and testimony.
12	Pursuant to F.R.C.P. 30(e)(2) before
13	completion of the proceedings, review of the transcript
14	[X] was [ ] was not requested.
15	I further certify that I am not a relative or
16	employee of any attorney of the parties, nor financially
17	interested in the action.
18	I declare under penalty of perjury under the
19	laws of California that the foregoing is true and
20	correct.
21	Dated this 3rd day of January 2022.
22	
23	Jai Jung
24	JANIS JENNINGS, CSR NO. 3942
25	CLR, CCRR
	Page 236

## HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	
2	
3	
4	
5	I, David B. Tuckerman, do hereby declare under
6	penalty of perjury that I have read the foregoing
7	transcript; that I have made corrections as appear
8	noted, in ink, initialed by me, or attached hereto; that
9	my testimony as contained herein, as corrected, is true
10	and correct.
11	EXECUTED this lst day of February,
12	2022, at Lake Stevens , Washington .
13	(City) (State)
14	
15	
16	$N \sim N \sim 1$
	Dank B. Turbenan
17	David B. Tuckerman
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	Page 235
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## HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1	RE: ASETEK DANMARK A/S VS. COOLIT SYSTEMS, INC.
2	DAVID TUCKERMAN, PH.D., JOB NO. 4997336
3	ERRATA SHEET
4	PAGE 35 LINES 5-6 CHANGE "each cold plate is to microchan
5	arrays" to "each cold plate has Two microchannel array
6	
7	PAGE 232 LINE 4 CHANGE "Asetek's" To "in Asetek's"
8	
9	REASON Transcription error
10	PAGE LINE CHANGE
11	
12	REASON
13	PAGE LINE CHANGE
14	
15	REASON
16	PAGE LINE CHANGE
17	
18	REASON
19	PAGE LINE CHANGE
20	
21	REASON
22	
23	Druk B. Julenan 1 February 2022
24	WITNESS Date
25	
	Page 239